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14 **UNITED STATES DISTRICT COURT**
15 **NORTHERN DISTRICT OF CALIFORNIA**
16 **OAKLAND DIVISION**

17 SAMUEL MICHAEL KELLER, et al., on behalf
of themselves and all others similarly situated,
18 Plaintiffs,
19 v.
20 ELECTRONIC ARTS, INC.; NATIONAL
COLLEGIATE ATHLETICS ASSOCIATION;
21 COLLEGIATE LICENSING COMPANY,
22 Defendants.

Case No. 4:09-cv-1967 CW

**SECOND JOINT FILING OF
AMENDED SETTLEMENT
EXHIBITS**

Judge: Hon. Claudia Wilken
Courtroom: 2, 4th Floor
Complaint Filed: May 5, 2009

23 EDWARD O'BANNON, et al.,
Plaintiffs,
24 v.
25 NATIONAL COLLEGIATE ATHLETIC
ASSOCIATION; COLLEGIATE LICENSING
26 COMPANY; and ELECTRONIC ARTS INC.,
27 Defendants.

Case No. 09-cv-3329 CW

1 This filing is submitted jointly by the Right of Publicity Plaintiffs (“ROP Plaintiffs”),¹
2 Antitrust Plaintiffs,² Ryan Hart, Electronic Arts Inc. (“EA”), and the National Collegiate Athletic
3 Association (“NCAA”) (collectively, “the Parties”), pursuant to the Court’s request.

4 I. BACKGROUND

5 After reaching agreement on a proposed class action settlement, the ROP Plaintiffs,
6 Antitrust Plaintiffs, EA, and Ryan Hart filed their proposed settlement papers with the Court on
7 May 30, 2014. (*Keller* Dkt.³ 1108.) Likewise, after reaching agreement on a proposed class action
8 settlement, the ROP Plaintiffs and the NCAA filed their proposed settlement papers with the Court
9 on June 30, 2014. (*Keller* Dkt. 1138.) On July 3, 2014, the Court held a telephonic status
10 conference and provided comments on the Parties’ proposed class notices and claim form. In an
11 effort to address the Court’s comments and to harmonize the provisions of their respective
12 settlements in order to streamline the class notice and claims process, the Parties revised their
13 settlement papers, which were filed on July 23, 2014 (*Keller* Dkt. 1158). The Court held a
14 telephonic Preliminary Approval Hearing on July 24, 2014, during which the Court indicated its
15 general approval of the two settlements and accompanying exhibits, but made some specific
16 requests for changes to some of the documents, including settling a dispute regarding the language
17 used to describe the recent trial in the *O’Bannon* case, which ended June 27, 2014.

18 Attached hereto, and listed below in Section III, are revised versions of the Preliminary
19 Approval Orders for both the EA Settlement and the NCAA Settlement, along with revised
20 versions of the Mailed and Published Notices. The revisions accounted for the changes requested
21 by the Court, including inserting the name of the Notice and Claims Administrator, correcting
22 minor errors in the Mailed Notice, and inserting dates (both relative and absolute, where possible)
23 in the Preliminary Approval Orders.

24 ¹ The ROP Plaintiffs are: Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, Bryon
25 Bishop, Shawne Alston, and Ryan Hart.

26 ² The Antitrust Plaintiffs are: Edward C. O’Bannon Jr., Oscar Robertson, William Russell, Harry
27 Flournoy, Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone
28 Prothro, Damien Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake
Fischer, Jake Smith, Darius Robinson, Moses Alipate and Chase Garnham.

³ “*Keller* Dkt.” refers to the Docket in Case No. 4:09-cv-01967-CW. “*O’Bannon* Dkt.” refers to
the Docket in Case No. 09-cv-3329-CW.

II. OUTSTANDING DISPUTE

Despite the Parties' best efforts, they are unable to reach agreement on one discrete issue: the description in the Mailed and Published Notices of this Court's August 8, 2014 *O'Bannon* ruling.⁴

During the July 24, 2013 preliminary approval hearing, the Court approved the following description of the *O'Bannon* trial:

You may have heard recently about a trial in a case by student-athletes (led by Edward O'Bannon) against the NCAA. Although the trial involved claims that the NCAA used student-athlete likenesses without permission, those claims were against the NCAA only for violations of antitrust laws. Also, unlike the claims being resolved by this settlement, the claims in the trial involved a request for injunctive relief (a court order discontinuing certain practices). The claims in the trial did not involve claims for cash payments for past conduct.

At that time, the Court had not yet issued its ruling in the *O'Bannon* trial. Accordingly, the Parties agreed to, and the Court approved, three Alternatives that could be added as final sentences to the paragraph above:

Alternative # 1: "The trial in that case ended on June 27, 2014, and as of the date of this Notice, the Court has not yet made a decision about the outcome."

Alternative # 2: "The trial in that case ended on June 27, 2014, and the Court ruled in favor of the student-athletes."

Alternative # 3: "The trial in that case ended on June 27, 2014, and the Court ruled in favor of the NCAA."

See *Keller* Dkts. 1158-3, 1158-4, *O'Bannon* Dkts. 288-3, 288-4.

On August 8, 2014, the Court issued its Findings of Fact and Conclusions of Law in *O'Bannon*, Case No. 09-cv-3329-CW, Dkt. 291.

The NCAA does not believe that any of the above three Alternatives accurately reflects the *O'Bannon* trial decision. The Antitrust and ROP Plaintiffs disagree with the NCAA, think the

⁴ The Parties previously sought guidance from the Court on the description of the NCAA's settlement with the ROP Plaintiffs to be included in the Notices. See *Keller* Dkt. 1158, *O'Bannon* Dkt. 288; see also *Keller* Dkts. 1159, 1163. The Court provided further instructions to the Parties during the telephonic preliminary approval hearing on July 24, 2014, and the Parties' current disagreement does not relate to the effect of the NCAA's settlement with the ROP Plaintiffs.

1 judgment, opinion and injunction are clear, and submit that the Court should adopt Alternative #
2 2. EA does not take a position on the issue.

3 The NCAA and the Antitrust and ROP Plaintiffs therefore propose two alternative
4 statements to describe the trial's result:

5 **Alternative A** [proposed by the NCAA]:

6 The trial in that case ended on June 27, 2014, and on August 8, the Court
7 granted in part and denied in part the student-athletes' requested injunction,
8 and the decision is available for review at [Settlement Website]. The NCAA
9 has appealed.

10 **Alternative B** (the same as "Alternative # 2") [proposed by the Antitrust and ROP
11 Plaintiffs]:

12 The trial in that case ended on June 27, 2014, and the Court ruled in favor of
13 the student-athletes. The decision is available for review at [Settlement
14 Website].

15 **NCAA's Position:**

16 The NCAA submits that Alternative A accurately describes the Court's ruling after the
17 *O'Bannon* trial and that Alternative B does not.

18 The result of the trial was more nuanced than described in Alternative # 2 and Alternative
19 B. The Court denied the Antitrust Plaintiffs' claims of antitrust violations in group licensing
20 markets relating to live game telecasts, videogames, and game re-broadcasts, highlight clips, and
21 other archival footage. *See O'Bannon*, Dkt. 291 at 66-78. The Court also found that an antitrust
22 violation had occurred in the college education market and issued a limited injunction relating to
23 the Court's conclusion of law with regard to that market. *See id.* at 51-66, 95-99; *O'Bannon*, Dkt.
24 292. At the time that the Parties submitted Alternatives 1-3 to the Court, the Court had not yet
25 issued its ruling. The Parties did not anticipate, and did not submit to the Court, an option like
26 Alternative A, which describes a ruling with mixed results for both sides – like the Court's ruling
27 following the *O'Bannon* trial.

28 The NCAA believes that it is more accurate to inform Settlement Class Members that the
"the Court granted in part and denied in part the student-athletes' requested injunction." Although
the Court issued "judgment in favor of the Plaintiff class," *see O'Bannon* Dkt. 291 at 99, the Court

1 also rejected the Antitrust Plaintiffs' primary allegations and request for relief that had centered on
2 the Antitrust Plaintiffs' main theory of "group licensing," *cf. O'Bannon* Dkt. 193-1.

3 Given the Antitrust Plaintiffs' intended results and the Court's ultimate order on the
4 Antitrust Plaintiffs' claims, it is misleading to the recipients of the Notices, and unfair to the
5 NCAA, to state simply that the Court "ruled in favor of the student-athletes." Further, if a recipient
6 of the Notices has a questions about the *O'Bannon* decision, Alternative A provides the recipient
7 with the information to review a copy of the decision that would be available at the settlement
8 administration website. Therefore, the NCAA requests that the Court adopt Alternative A as the
9 final sentence to the paragraph describing the *O'Bannon* trial.

10 **Plaintiffs' Position:**

11 Antitrust Plaintiffs tried an antitrust claim seeking injunctive relief. On August 8, 2014, the
12 Court issued "judgment in favor of the Plaintiff class," *see O'Bannon* Dkt. 291 at 99. *See also* Dkt.
13 293 ("judgment is hereby entered in favor of the Class"). On the same day, the Court entered a
14 permanent injunction. *See O'Bannon* Dkt. 292. Plaintiffs respectfully suggest that "judgment in
15 favor of the Plaintiff class" means the Court ruled in favor of the student-athletes. Alternative #2,
16 as initially proposed and approved as an option, should be the language inserted in the Notices.
17 Plaintiffs do not object to adding a sentence (originally suggested by EA) that the court's order in
18 that case is available for review at the settlement website.

19 **III. LIST OF EXHIBITS**

20 Below is a list of the exhibits attached hereto, with citations to the original exhibits they are
21 intended to replace.

- 22 • **Ex. 1 – Proposed EA Preliminary Approval Order**
[SECOND AMENDMENT] [TO REPLACE KELLER DKT. NO. 1158-1, EX. A]
- 23 • **Ex. 1a – Proposed EA Preliminary Approval Order**
[REDLINED AGAINST KELLER DKT. NO. 1158-1, EX. A]
- 24 • **Ex. 2 – Proposed NCAA Preliminary Approval Order**
[SECOND AMENDMENT] [TO REPLACE KELLER DKT. NO. 1158-2, EX. A]
- 25 • **Ex. 2a – Proposed NCAA Preliminary Approval Order**
[REDLINED AGAINST KELLER DKT. NO. 1158-2, EX. A]
- 26 • **Ex. 3 – JOINT Amended Draft Mailed Notice [with disputed text highlighted]**
27 [SECOND AMENDMENT] [TO REPLACE KELLER DKT. NO. 1158-3]
- 28

- 1 ▪ **Ex. 3a – JOINT Amended Draft Mailed Notice [with disputed text highlighted]**
2 [REDLINED AGAINST KELLER DKT. NO. 1158-3]
- 3 • **Ex. 4 – JOINT Amended Draft Published Notice [with disputed text highlighted]**
4 [SECOND AMENDMENT] [TO REPLACE KELLER DKT. NO. 1158-4]
- 5 ▪ **Ex. 4a – JOINT Amended Draft Published Notice [with disputed text highlighted]**
6 [REDLINED AGAINST KELLER DKT. NO. 1158-4]

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Dated: August 27, 2014

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CERTIFICATE OF SERVICE

I hereby certify that on August 27, 2014, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the e-mail addresses registered, and I hereby certify that I have mailed the foregoing document or paper via the United States Postal Service to the following non-CM/ECF participants:

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EXHIBIT 1

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SAMUEL KELLER, et al.,

Plaintiffs,

v.

NATIONAL COLLEGIATE ATHLETIC
ASSOCIATION; COLLEGIATE
LICENSING COMPANY; and
ELECTRONIC ARTS INC.,

Defendants.

Case No. 09-cv-1967 CW

**[PROPOSED] ORDER GRANTING
PRELIMINARY APPROVAL OF CLASS
ACTION SETTLEMENT WITH
DEFENDANT ELECTRONIC ARTS INC.**

EDWARD O'BANNON, et al.,

Plaintiffs,

v.

NATIONAL COLLEGIATE ATHLETIC
ASSOCIATION; COLLEGIATE
LICENSING COMPANY; and
ELECTRONIC ARTS INC.,

Defendants.

Case No. 09-cv-3329 CW

1 The Right of Publicity Plaintiffs and Antitrust Plaintiffs have entered into an Amended
2 Class Action Settlement Agreement and Release with Defendant Electronic Arts Inc. (“EA”)
3 (“Settlement” or “EA Videogame Settlement”) to settle all claims that have been or could have
4 been brought against EA and the Collegiate Licensing Company (“CLC”) in the above-captioned
5 lawsuits, and all of those lawsuits listed in the Settlement Agreement (“Lawsuits”). The
6 Settlement Agreement sets forth the terms and conditions of a proposed Settlement and dismissal
7 with prejudice of the Lawsuits as to EA and CLC.

8 On May 30, 2014, the Parties submitted to the Court their original proposed settlement
9 agreement and related papers (Dkt. 1108). On July 3, 2014, the Court conducted a telephone
10 status hearing to provide its comments on those papers. As a result of that status hearing, the
11 Parties submitted their current Settlement Agreement and related preliminary approval papers.
12 (Dkt. 1158). On July 24, 2014, the Court held a preliminary approval hearing and provided the
13 Parties with additional comments on the proposed class notices. (Dkt. 1174). The parties
14 thereafter submitted revised proposed class notices for the Court’s review.

15 This Court also has before it a motion for preliminary approval of a proposed class action
16 settlement in *Keller v. NCAA, et. al.* between the Right of Publicity Plaintiffs and NCAA
17 (“NCAA Videogame Settlement”). The two proposed class action settlements both concern the
18 alleged use and licensing of NCAA football and men’s basketball players’ names, images and
19 likenesses in certain NCAA-Branded Videogames. The parties to both settlements have
20 coordinated, to the extent feasible, the notice and claims administration of both settlements.
21 Because the two settlements are separate, however, the Court will issue separate orders in each
22 settlement, and will evaluate each proposed settlement on its own terms.

23 Regarding this proposed Settlement, the Court has carefully considered the Amended
24 Settlement together with all exhibits thereto, all filings related to the Settlement, the arguments of
25 counsel, and the record in this case. The Court hereby gives its preliminary approval of the EA
26 Videogame Settlement; finds that the Settlement and Settlement Agreement are sufficiently fair,
27 reasonable and adequate to allow dissemination of notice of the Settlement to the Settlement
28 Class and to hold a Fairness Hearing; orders that Class Notice be sent to the Settlement Class in

1 accordance with the Settlement Agreement and this Order; and schedules a Fairness Hearing to
2 determine whether the proposed Settlement is fair, reasonable, and adequate.

3
4 IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:

5 1. The Settlement Agreement is hereby incorporated by reference in this Order, and
6 all terms or phrases used in this Order shall have the same meaning as in the Settlement
7 Agreement.

8 2. The Court has jurisdiction over the subject matter and parties to this proceeding
9 under 28 U.S.C. § 1332.

10 3. Venue is proper in this district.

11 4. The Court preliminarily approves the Settlement, together with all of its Exhibits,
12 as sufficiently fair, reasonable and adequate to warrant providing notice to the Settlement Class.
13 Exhibits B and C to the Settlement Agreement (the proposed class notices) are approved as
14 amended by Exhibits 1 and 2 to this Order.

15 5. The Court preliminarily certifies for settlement only the following Settlement
16 Classes pursuant to Federal Rule of Civil Procedure 23:

17 (a) Antitrust Class Members: All current and former student-athletes residing
18 in the United States who competed on an NCAA Division I (formerly known as
19 “University Division” before 1973) college or university men’s basketball team or on an
20 NCAA Football Bowl Subdivision (formerly known as Division I-A until 2006) men’s
21 football team and whose images, likenesses and/or names allegedly have been included or
22 could have been included (by virtue of their appearance in a team roster) in or used in
23 connection with NCAA-Branded Videogames published or distributed from July 21, 2005
24 until [INSERT DATE]. Excluded from the class are EA, CLC, the NCAA, and their
25 officers, directors, legal representatives, heirs, successors, and wholly or partly owned
26 subsidiaries or affiliated companies, class counsel and their employees, and the judicial
27 offers, and associated court staff assigned to cases listed in paragraph I of the Settlement
28 Agreement.

1 (b) Hart/Alston Right of Publicity Class Members: All NCAA football and
2 basketball players listed on the roster of a school whose team was included in an NCAA-
3 Branded Videogame originally published or distributed during the period May 4, 2003 to
4 May 4, 2007 and whose assigned jersey number appears on a virtual player in the
5 software, or whose likeness was otherwise included in the software. Excluded from the
6 class are EA, CLC, the NCAA, and their officers, directors, legal representatives, heirs,
7 successors, and wholly or partly owned subsidiaries or affiliated companies, Class
8 Counsel and their employees, and the judicial offers, and associated court staff assigned to
9 cases listed in paragraph I of the Settlement Agreement.

10 (c) Keller Right of Publicity Class Members: All NCAA football and
11 basketball players listed on the roster of a school whose team was included in an NCAA-
12 Branded Videogame originally published or distributed during the period May 5, 2007 to
13 [INSERT DATE] and whose assigned jersey number appears on a virtual player in the
14 software, or whose likeness was otherwise included in the software. Excluded from the
15 class are EA, CLC, the NCAA, and their officers, directors, legal representatives, heirs,
16 successors, and wholly or partly owned subsidiaries or affiliated companies, Class
17 Counsel and their employees, and the judicial offers, and associated court staff assigned to
18 cases listed in paragraph I of the Settlement Agreement.

19 6. The Court finds, for purposes of preliminary approval and for settlement purposes
20 only, that the Settlement Class described above satisfies the following factors of Federal Rule of
21 Civil Procedure 23:

22 (a) Numerosity: Class Counsel estimate that over 100,000 individuals have
23 potential claims. This satisfies the Rule 23(a)(1) numerosity requirement.

24 (b) Commonality: The threshold for commonality under Rule 23(a)(2) is not
25 high and a single common issue will suffice. Plaintiffs allege, among other things,
26 antitrust and right of publicity claims related to Defendants' license, use, and/or sale of
27 class members' name, image, and likeness rights without compensation. This issue is
28 common to the Settlement Class.

1 (c) Typicality: Plaintiffs' claims are typical of those of the Settlement Class
2 and satisfy Rule 23(a)(3).

3 (d) Adequacy: There are no conflicts of interest between Plaintiffs and
4 Settlement Class Members and Plaintiffs have retained competent counsel to represent the
5 Settlement Class. Class Counsel regularly engage in complex litigation similar to the
6 present case and have dedicated substantial resources to the prosecution of this matter.
7 The adequacy requirement is satisfied.

8 (e) There is predominance and superiority. The common legal and factual
9 issue listed in the preliminary approval papers predominate all over other issues.
10 Resolution of the common question constitutes a significant part of Plaintiffs' and
11 Settlement Class Members' claims. Further, because the Settlement Class is being
12 certified for purposes of settlement only, the Court need not consider factors that might
13 render a class action unmanageable.

14 7. The Court's findings regarding the class certification requirements of Rule 23 are
15 subject to the Fairness Hearing and are made without prejudice to the facts, record, and argument
16 that will be before the Court in connection with any class proposed for litigation of any remaining
17 claims against any other party.

18 8. The Court grants leave to file the Fourth Amended Complaint in the form attached
19 as Exhibit E to the EA Videogame Settlement. The Court approves of adding and/or including the
20 New Jersey Plaintiffs and claims for settlement and approval purposes.

21 9. The Court appoints the following people as Class Representatives for the Antitrust
22 Class: Edward C. O'Bannon Jr., Oscar Robertson, William Russell, Harry Flournoy, Alex
23 Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien
24 Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake Fischer, Jake
25 Smith, Darius Robinson, Moses Alipate, and Chase Garnham. The Court appoints the following
26 people as Class Representatives for the *Keller* Right of Publicity Class: Samuel Michael Keller,
27 Bryan Cummings, LaMarr Watkins, and Bryon Bishop. The Court appoints Ryan Hart and
28 Shawne Alston as Class Representatives for the *Hart/Alston* Right of Publicity Class.

1 10. The Court appoints the following law firms as Class Counsel: Hausfeld LLP,
2 Hagens Berman Sobol Shapiro LLP, The McKenna Law Firm LLC, and Lum, Positan & Drasco
3 LLP.

4 11. The Court appoints Gilardi & Co. LLC as the Notice and Claims Administrator,
5 which shall administer the Settlement in accordance with the terms and conditions of this Order
6 and the Settlement Agreement.

7 12. The Court has reviewed and approves the Settlement Notices, the form of which
8 shall be without material alteration from Exhibits 1 and 2 to this Order.

9 13. The Court has reviewed and approves the Claim Form, the content of which shall
10 be without material alteration from Exhibit D to the Settlement Agreement. To be considered
11 timely, a Claim Form must be submitted by a Settlement Class Member or that Settlement Class
12 Member's Legally Authorized Representative so that it is postmarked and mailed to the Notice
13 and Claims Administrator, or submitted online via the settlement website, by no later than two
14 weeks before the Fairness Hearing (April 30, 2015). Any Claim Form postmarked or submitted
15 after this date shall be untimely and invalid. A Settlement Class Member may choose to file a
16 single Claim Form to be considered for payment in both the NCAA Videogame Settlement and
17 this Settlement, unless the Settlement Class Member has excluded himself from one of the two
18 settlements (in which case, his Claim Form will be considered for payment only in the settlement
19 from which he did not exclude himself), or unless the Settlement Class Member indicates on the
20 Claim Form that he wishes to submit a claim in only one settlement.

21 14. The Court approves the Settlement Agreement's Class Notice plan. As part of that
22 Class Notice plan, in coordination with the NCAA Videogame Settlement, the NCAA will
23 request that its member institutions and affiliated alumni associations provide to the Notice and
24 Claims Administrator reasonably ascertainable information regarding the names and last-known
25 addresses of NCAA football and basketball players who were listed on a roster published or
26 issued by a school whose team was included in an NCAA-Branded Videogame originally
27 published or distributed during the Settlement Class Period. To the extent that a member
28 institution or affiliated alumni association declines to provide such information, Class Counsel

1 shall endeavor in good faith to obtain such information, including by subpoenaing if necessary
2 such member institution and affiliated alumni association for that information (to the extent it has
3 not already done so), and shall forward any information received to the Notice and Claims
4 Administrator. All names and addresses obtained through these sources shall be protected as
5 confidential and not used for purposes other than the notice and administration of this Settlement,
6 or as otherwise ordered by law.

7 15. The Court directs the Notice and Claims Administrator to disseminate the
8 Settlement Notices in the following manner, which satisfies the requirements of Federal Rule of
9 Civil Procedure 23 and due process:

10 (a) Within 120 days following entry of the Preliminary Approval Order
11 (_____) (“Mailed Notice Date”), the Administrator shall mail, or
12 cause to be mailed, copies of the Notice of Settlement of Class Action, by first class
13 United States mail, postage prepaid, to all potential Settlement Class Members at the most
14 recent address obtained by the methods described in the Notice Plan.

15 (b) The Administrator shall also create and manage a content-neutral
16 settlement website where settlement-related documents, such as the Settlement, the Notice
17 of Settlement of Class Action, court-filed documents, and case updates and information
18 shall be posted. The website shall also have an online means of submitting a Claim Form.

19 (c) The Administrator shall also create and manage a toll-free number with an
20 automated system providing information about the Settlement, with the ability to request
21 copies of the Settlement Notice or the Settlement, and to speak with live operators.

22 (d) In addition, the Court orders the parties to implement the plan for
23 publication notice described in Paragraph 78 of the Settlement. The Court has reviewed
24 and approves the Summary Notice of Class Action, the content of which shall be without
25 material alternation from Exhibit C to the Settlement.

26 16. Gilardi & Co. LLC is directed to perform all other responsibilities under the
27 Notice Plan assigned to the Administrator.
28

1 17. The Court finds that the Class Notice plan, including the form, content, and
2 method of dissemination of the Class Notice to Settlement Class Members as described in the
3 Settlement Agreement, (i) is the best practicable notice; (ii) is reasonably calculated, under the
4 circumstances, to apprise Settlement Class Members of the pendency of the Lawsuits and of their
5 right to object to or exclude themselves from the proposed Settlement; (iii) is reasonable and
6 constitutes due, adequate, and sufficient notice to all Persons entitled to receive notice; and (iv)
7 meets all applicable requirements of Federal Rule of Civil Procedure 23 and due process.

8 18. The Court approves the procedures set forth in the Settlement Agreement and the
9 Notice of Settlement of Class Action for exclusions from and objections to the Settlement. Unlike
10 Claim Forms, exclusion requests and objections must be submitted separately for this Settlement
11 and for the EA Settlement.

12 19. The Court preliminarily enjoins all Settlement Class Members and their Legally
13 Authorized Representatives, unless and until they submit a timely request for exclusion pursuant
14 to the Settlement Agreement, (i) from filing, commencing, prosecuting, intervening in, or
15 participating as plaintiff, claimant, or class member in any other lawsuit or administrative,
16 regulatory, arbitration, or other proceeding in any jurisdiction based on the Released Claims; (ii)
17 from filing, commencing, or prosecuting a lawsuit or administrative, regulatory, arbitration, or
18 other proceeding as a class action on behalf of any Settlement Class Members (including by
19 seeking to amend a pending complaint to include class allegations or seeking class certification in
20 a pending action), based on the Released Claims; and (iii) from attempting to effect an opt-out of
21 a group, class, or subclass of individuals in any lawsuit or administrative, regulatory, arbitration,
22 or other proceeding based on the Released Claims.

23 20. The Court directs that a hearing be scheduled for May 14, 2015 at 2:00 p.m. (the
24 “Fairness Hearing”) to assist the Court in determining whether the Settlement is fair, reasonable
25 and adequate; whether Final Judgment should be entered dismissing with prejudice Defendants
26 EA and CLC in the above-captioned action and any other actions by Settlement Class Members
27 pending before this Court; whether Class Counsel’s application for fees and expenses should be
28

1 approved; and whether Class Counsel's request for incentive payments to the Class
2 Representatives should be approved.

3 21. Each Settlement Class Member who has not submitted a timely request for
4 exclusion from the Settlement Class, and any governmental entity, who wishes to object to the
5 fairness, reasonableness, or adequacy of the Settlement Agreement or any term or aspect of the
6 proposed settlement must mail to the Notice and Claims Administrator (who shall forward it to
7 Class Counsel and Counsel for EA and CLC) and file with the Court no later than
8 _____ (60 days after the Mailed Notice Date) a statement of the
9 objection, as well as the specific legal and factual reasons for each objection, or be forever barred
10 from objection. The objection must comply with Paragraphs 88–90 of the Settlement Agreement
11 and the Notice of Settlement of Class Action, and contain at least the following: (1) the
12 objector's full name, address, telephone number, and signature; (2) a heading that refers to the EA
13 Videogame Settlement; (3) a statement of the specific legal and factual basis for each objection;
14 and (4) a statement whether the objecting Person or entity intends to appear at the Fairness
15 Hearing, either in person or through counsel and, if through counsel, a statement identifying that
16 counsel by name, bar number, address, and telephone number. All objections shall be signed by
17 the objecting Settlement Class Member (or his Legally Authorized Representative), even if the
18 Settlement Class Member is represented by counsel.

19 22. The Court further directs that any Settlement Class Member may appear at the
20 Fairness Hearing, either in person or through personal counsel, retained at the Settlement Class
21 Member's expense, to voice an objection to the Settlement or to Class Counsel's application for
22 fees and expenses or request for incentive payments.

23 23. The Court further directs that any person within the Settlement Class definition
24 who wishes to be excluded from the Settlement Class must mail a written Request for Exclusion
25 to the Administrator postmarked on or before the Opt-Out Deadline. Any Request for Exclusion
26 must include the name of the person seeking exclusion and a statement that he requests exclusion
27 from the class and does not wish to participate in the Settlement. Requests for exclusion must be
28 exercised individually by a Settlement Class Member, not as or on behalf of a group, class, or

1 subclass, except that such exclusion requests may be submitted on behalf of an individual
2 Settlement Class Member by that Settlement Class Member's Legally Authorized Representative.

3 24. The cost of providing Notice, as provided for by this Order and the Settlement,
4 shall be paid from the Notice and Administration Fund and from the Settlement Fund if the cost
5 of providing Notice exceeds the amount in the Notice and Administration Fund.

6 25. The Court further directs the Administrator to promptly provide unredacted copies
7 of any Requests for Exclusion, and any withdrawals thereof, to EA, CLC, and Class Counsel.
8 Prior to the Fairness Hearing, the Administrator will file with the Court a report identifying all
9 persons making Requests for Exclusion not thereafter timely withdrawn and the date on which
10 each request was postmarked (or if there is no legible postmark date, the date received by the
11 Administrator). A copy of the report will be provided to EA, CLC, and Class Counsel, who will
12 keep the report confidential.

13 26. Neither the Settlement, nor any exhibit, document or instrument delivered
14 thereunder shall be construed as or deemed to be evidence of an admission or concession by EA,
15 CLC, or the other Released Parties of an interpretation of, any liability or wrongdoing by EA or
16 CLC, or of the truth of any allegations asserted by Plaintiffs, Settlement Class Members or any
17 other person.

18 27. The Court finds that the Settlement, along with all related drafts, motions, court
19 papers, conversations, negotiations, mediations and correspondence, including statements made in
20 mediations or written submissions to the mediator, constitute an offer to compromise and a
21 compromise within the meaning of Federal Rule of Evidence 408, California Rule of Evidence
22 1152 and any equivalent rule of evidence of any state; and are privileged under Section 1119 of
23 the California Evidence Code.

24 28. Except as explicitly provided in the Settlement Agreement, neither the
25 Settlement—approved or not approved—nor any exhibit, document or instrument delivered
26 thereunder, nor any statement, transaction or proceeding in connection with the negotiation,
27 execution or implementation of the Settlement, shall be admissible in evidence in this or any
28 other proceeding for any purpose, including as evidence. Without limitation of the foregoing,

1 nothing contained in the Settlement, approved or not approved, nor any exhibit, document or
2 instrument delivered thereunder, nor any statement, transaction or proceeding in connection with
3 the negotiation, execution or implementation of the Settlement, shall be given any form of res
4 judicata, collateral estoppel or judicial estoppel effect against EA, CLC or the other Released
5 Parties in any administrative or judicial forum or proceeding.

6 29. Notwithstanding the foregoing, the Settlement, any order granting preliminary or
7 final approval of the Settlement and any appellate decision affirming Final Judgment is
8 admissible as follows.

9 (a) The Settlement is admissible by any Party for the purpose of obtaining
10 approval of, implementing and/or enforcing the Settlement.

11 (b) The Settlement, any order granting preliminary or final approval to the
12 Settlement, any appellate decision affirming Final Judgment, and any proceedings and
13 submissions in connection with this Settlement are admissible for purposes of determining
14 Class Counsel's application for attorneys' fees and costs or in connection with any appeal
15 of an award of Class Counsel's attorneys' fees and costs in this Action.

16 (c) If finally approved, the Settlement, any order granting preliminary or final
17 approval of the Settlement and any appellate decision affirming any order of this Court
18 with respect to the Settlement, may be pleaded by EA, CLC, or the Released Parties as a
19 full and complete defense (including any defense based upon release, res judicata, or
20 injunction) to any action, suit or other proceeding that has been or may be instituted,
21 prosecuted or attempted with respect to any of the Released Claims; and the Settlement,
22 any order granting preliminary or final approval to the Settlement and any appellate
23 decision affirming this Final Judgment, or any other proceedings in connection therewith,
24 may be filed, offered or submitted by EA, CLC, or the Released Parties or otherwise used
25 to support such defense.

26 30. If the Settlement is not finally approved, or the Effective Date does not occur, or
27 the Settlement is terminated under its terms, then (a) all parties will proceed as if the Settlement
28 (except those provisions that, by their terms, expressly survive disapproval or termination of the

1 Settlement) had not been executed and the related orders and judgment had not been entered,
2 preserving in that event all of their respective claims and defenses in the Action; and (b) all
3 releases given will be null and void. In such an event, this Court's orders regarding the
4 Settlement, including this Preliminary Approval Order, shall not be used or referred to in
5 litigation for any purpose. Nothing in the foregoing paragraph is intended to alter the terms of the
6 Settlement Agreement with respect to the effect of the Settlement Agreement if it is not approved.

7 31. The Court further directs that the following deadlines are established by this
8 Preliminary Approval Order:

9 Mailed Notice Date: _____
10 (within 120 days following entry of this Preliminary Approval Order)

11 Opt-Out Deadline: _____
12 (60 days after Mailed Notice Date)

13 Objection Deadline: _____
14 (60 days after Mailed Notice Date)

15 Fairness Hearing: May 14, 2015, 2:00 p.m.
16 (This date could change. The parties should check the Court's website
17 to confirm.)

18 Dated this ____ day of _____, 2014.

19 _____
20 The Honorable Claudia Wilken
21 United States Chief District Judge

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EXHIBIT 1a

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SAMUEL KELLER, et al.,
Plaintiffs,

v.

NATIONAL COLLEGIATE ATHLETIC
ASSOCIATION; COLLEGIATE
LICENSING COMPANY; and
ELECTRONIC ARTS INC.,
Defendants.

Case No. 09-cv-1967 CW

**[PROPOSED] ORDER GRANTING
PRELIMINARY APPROVAL OF CLASS
ACTION SETTLEMENT WITH
DEFENDANT ELECTRONIC ARTS INC.**

EDWARD O'BANNON, et al.,
Plaintiffs,

v.

NATIONAL COLLEGIATE ATHLETIC
ASSOCIATION; COLLEGIATE
LICENSING COMPANY; and
ELECTRONIC ARTS INC.,
Defendants.

Case No. 09-cv-3329 CW

1 The Right of Publicity Plaintiffs and Antitrust Plaintiffs have entered into an Amended
2 Class Action Settlement Agreement and Release with Defendant Electronic Arts Inc. (“EA”)
3 (“Settlement” or “EA Videogame Settlement”) to settle all claims that have been or could have
4 been brought against EA and the Collegiate Licensing Company (“CLC”) in the above-captioned
5 lawsuits, and all of those lawsuits listed in the Settlement Agreement (“Lawsuits”). The
6 Settlement Agreement sets forth the terms and conditions of a proposed Settlement and dismissal
7 with prejudice of the Lawsuits as to EA and CLC.

8 On May 30, 2014, the Parties submitted to the Court their original proposed settlement
9 agreement and related papers (Dkt. 1108). On July 3, 2014, the Court conducted a telephone
10 status hearing to provide its comments on those papers. As a result of that status hearing, the
11 Parties submitted their current Settlement Agreement and related preliminary approval papers.
12 (Dkt. 1158). On July 24, 2014, the Court held a preliminary approval hearing and provided the
13 Parties with additional comments on the proposed class notices. (Dkt. 1174). The parties
14 thereafter submitted revised proposed class notices for the Court’s review.

15 This Court also has before it a motion for preliminary approval of a proposed class action
16 settlement in *Keller v. NCAA, et. al.* between the Right of Publicity Plaintiffs and NCAA
17 (“NCAA Videogame Settlement”). The two proposed class action settlements both concern the
18 alleged use and licensing of NCAA football and men’s basketball players’ names, images and
19 likenesses in certain NCAA-Branded Videogames. The parties to both settlements have
20 coordinated, to the extent feasible, the notice and claims administration of both settlements.
21 Because the two settlements are separate, however, the Court will issue separate orders in each
22 settlement, and will evaluate each proposed settlement on its own terms.

23 Regarding this proposed Settlement, the Court has carefully considered the Amended
24 Settlement together with all exhibits thereto, all filings related to the Settlement, the arguments of
25 counsel, and the record in this case. The Court hereby gives its preliminary approval of the EA
26 Videogame Settlement; finds that the Settlement and Settlement Agreement are sufficiently fair,
27 reasonable and adequate to allow dissemination of notice of the Settlement to the Settlement
28 Class and to hold a Fairness Hearing; orders that Class Notice be sent to the Settlement Class in

1 accordance with the Settlement Agreement and this Order; and schedules a Fairness Hearing to
2 determine whether the proposed Settlement is fair, reasonable, and adequate.

3
4 IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:

5 1. The Settlement Agreement is hereby incorporated by reference in this Order, and
6 all terms or phrases used in this Order shall have the same meaning as in the Settlement
7 Agreement.

8 2. The Court has jurisdiction over the subject matter and parties to this proceeding
9 under 28 U.S.C. § 1332.

10 3. Venue is proper in this district.

11 4. The Court preliminarily approves the Settlement, together with all of its Exhibits,
12 as sufficiently fair, reasonable and adequate to warrant providing notice to the Settlement Class.

13 Exhibits B and C to the Settlement Agreement (the proposed class notices) are approved as
14 amended by Exhibits 1 and 2 to this Order.

15 5. The Court preliminarily certifies for settlement only the following Settlement
16 Classes pursuant to Federal Rule of Civil Procedure 23:

17 (a) Antitrust Class Members: All current and former student-athletes residing
18 in the United States who competed on an NCAA Division I (formerly known as
19 “University Division” before 1973) college or university men’s basketball team or on an
20 NCAA Football Bowl Subdivision (formerly known as Division I-A until 2006) men’s
21 football team and whose images, likenesses and/or names allegedly have been included or
22 could have been included (by virtue of their appearance in a team roster) in or used in
23 connection with NCAA-Branded Videogames published or distributed from July 21, 2005
24 until [INSERT DATE]. Excluded from the class are EA, CLC, the NCAA, and their
25 officers, directors, legal representatives, heirs, successors, and wholly or partly owned
26 subsidiaries or affiliated companies, class counsel and their employees, and the judicial
27 offers, and associated court staff assigned to cases listed in paragraph I of the Settlement
28 Agreement.

1 (b) Hart/Alston Right of Publicity Class Members: All NCAA football and
2 basketball players listed on the roster of a school whose team was included in an NCAA-
3 Branded Videogame originally published or distributed during the period May 4, 2003 to
4 May 4, 2007 and whose assigned jersey number appears on a virtual player in the
5 software, or whose likeness was otherwise included in the software. Excluded from the
6 class are EA, CLC, the NCAA, and their officers, directors, legal representatives, heirs,
7 successors, and wholly or partly owned subsidiaries or affiliated companies, Class
8 Counsel and their employees, and the judicial offers, and associated court staff assigned to
9 cases listed in paragraph I of the Settlement Agreement.

10 (c) Keller Right of Publicity Class Members: All NCAA football and
11 basketball players listed on the roster of a school whose team was included in an NCAA-
12 Branded Videogame originally published or distributed during the period May 5, 2007 to
13 [INSERT DATE] and whose assigned jersey number appears on a virtual player in the
14 software, or whose likeness was otherwise included in the software. Excluded from the
15 class are EA, CLC, the NCAA, and their officers, directors, legal representatives, heirs,
16 successors, and wholly or partly owned subsidiaries or affiliated companies, Class
17 Counsel and their employees, and the judicial offers, and associated court staff assigned to
18 cases listed in paragraph I of the Settlement Agreement.

19 6. The Court finds, for purposes of preliminary approval and for settlement purposes
20 only, that the Settlement Class described above satisfies the following factors of Federal Rule of
21 Civil Procedure 23:

22 (a) Numerosity: Class Counsel estimate that over 100,000 individuals have
23 potential claims. This satisfies the Rule 23(a)(1) numerosity requirement.

24 (b) Commonality: The threshold for commonality under Rule 23(a)(2) is not
25 high and a single common issue will suffice. Plaintiffs allege, among other things,
26 antitrust and right of publicity claims related to Defendants' license, use, and/or sale of
27 class members' name, image, and likeness rights without compensation. This issue is
28 common to the Settlement Class.

1 (c) Typicality: Plaintiffs' claims are typical of those of the Settlement Class
2 and satisfy Rule 23(a)(3).

3 (d) Adequacy: There are no conflicts of interest between Plaintiffs and
4 Settlement Class Members and Plaintiffs have retained competent counsel to represent the
5 Settlement Class. Class Counsel regularly engage in complex litigation similar to the
6 present case and have dedicated substantial resources to the prosecution of this matter.
7 The adequacy requirement is satisfied.

8 (e) There is predominance and superiority. The common legal and factual
9 issue listed in the preliminary approval papers predominate all over other issues.
10 Resolution of the common question constitutes a significant part of Plaintiffs' and
11 Settlement Class Members' claims. Further, because the Settlement Class is being
12 certified for purposes of settlement only, the Court need not consider factors that might
13 render a class action unmanageable.

14 7. The Court's findings regarding the class certification requirements of Rule 23 are
15 subject to the Fairness Hearing and are made without prejudice to the facts, record, and argument
16 that will be before the Court in connection with any class proposed for litigation of any remaining
17 claims against any other party.

18 8. The Court grants leave to file the Fourth Amended Complaint in the form attached
19 as Exhibit E to the EA Videogame Settlement. The Court approves of adding and/or including the
20 New Jersey Plaintiffs and claims for settlement and approval purposes.

21 9. The Court appoints the following people as Class Representatives for the Antitrust
22 Class: Edward C. O'Bannon Jr., Oscar Robertson, William Russell, Harry Flournoy, Alex
23 Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien
24 Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake Fischer, Jake
25 Smith, Darius Robinson, Moses Alipate, and Chase Garnham. The Court appoints the following
26 people as Class Representatives for the *Keller* Right of Publicity Class: Samuel Michael Keller,
27 Bryan Cummings, LaMarr Watkins, and Bryon Bishop. The Court appoints Ryan Hart and
28 Shawne Alston as Class Representatives for the *Hart/Alston* Right of Publicity Class.

1 (c) The Administrator shall also create and manage a toll-free number with an
2 automated system providing information about the Settlement, with the ability to request
3 copies of the Settlement Notice or the Settlement, and to speak with live operators.

4 (d) In addition, the Court orders the parties to implement the plan for
5 publication notice described in Paragraph 78 of the Settlement. The Court has reviewed
6 and approves the Summary Notice of Class Action, the content of which shall be without
7 material alternation from Exhibit C to the Settlement.

8 16. ~~_____~~ Gilardi & Co. LLC is directed to perform all other responsibilities
9 under the Notice Plan assigned to the Administrator.

10 17. The Court finds that the Class Notice plan, including the form, content, and
11 method of dissemination of the Class Notice to Settlement Class Members as described in the
12 Settlement Agreement, (i) is the best practicable notice; (ii) is reasonably calculated, under the
13 circumstances, to apprise Settlement Class Members of the pendency of the Lawsuits and of their
14 right to object to or exclude themselves from the proposed Settlement; (iii) is reasonable and
15 constitutes due, adequate, and sufficient notice to all Persons entitled to receive notice; and (iv)
16 meets all applicable requirements of Federal Rule of Civil Procedure 23 and due process.

17 18. The Court approves the procedures set forth in the Settlement Agreement and the
18 Notice of Settlement of Class Action for exclusions from and objections to the Settlement. Unlike
19 Claim Forms, exclusion requests and objections must be submitted separately for this Settlement
20 and for the EA Settlement.

21 19. The Court preliminarily enjoins all Settlement Class Members and their Legally
22 Authorized Representatives, unless and until they submit a timely request for exclusion pursuant
23 to the Settlement Agreement, (i) from filing, commencing, prosecuting, intervening in, or
24 participating as plaintiff, claimant, or class member in any other lawsuit or administrative,
25 regulatory, arbitration, or other proceeding in any jurisdiction based on the Released Claims; (ii)
26 from filing, commencing, or prosecuting a lawsuit or administrative, regulatory, arbitration, or
27 other proceeding as a class action on behalf of any Settlement Class Members (including by
28 seeking to amend a pending complaint to include class allegations or seeking class certification in

1 and the Notice of Settlement of Class Action, and contain at least the following: (1) the
2 objector's full name, address, telephone number, and signature; (2) a heading that refers to the EA
3 Videogame Settlement; (3) a statement of the specific legal and factual basis for each objection;
4 and (4) a statement whether the objecting Person or entity intends to appear at the Fairness
5 Hearing, either in person or through counsel and, if through counsel, a statement identifying that
6 counsel by name, bar number, address, and telephone number. All objections shall be signed by
7 the objecting Settlement Class Member (or his Legally Authorized Representative), even if the
8 Settlement Class Member is represented by counsel.

9 22. The Court further directs that any Settlement Class Member may appear at the
10 Fairness Hearing, either in person or through personal counsel, retained at the Settlement Class
11 Member's expense, to voice an objection to the Settlement or to Class Counsel's application for
12 fees and expenses or request for incentive payments.

13 23. The Court further directs that any person within the Settlement Class definition
14 who wishes to be excluded from the Settlement Class must mail a written Request for Exclusion
15 to the Administrator postmarked on or before the Opt-Out Deadline. Any Request for Exclusion
16 must include the name of the person seeking exclusion and a statement that he requests exclusion
17 from the class and does not wish to participate in the Settlement. Requests for exclusion must be
18 exercised individually by a Settlement Class Member, not as or on behalf of a group, class, or
19 subclass, except that such exclusion requests may be submitted on behalf of an individual
20 Settlement Class Member by that Settlement Class Member's Legally Authorized Representative.

21 24. The cost of providing Notice, as provided for by this Order and the Settlement,
22 shall be paid from the Notice and Administration Fund and from the Settlement Fund if the cost
23 of providing Notice exceeds the amount in the Notice and Administration Fund.

24 25. The Court further directs the Administrator to promptly provide unredacted copies
25 of any Requests for Exclusion, and any withdrawals thereof, to EA, CLC, and Class Counsel.
26 Prior to the Fairness Hearing, the Administrator will file with the Court a report identifying all
27 persons making Requests for Exclusion not thereafter timely withdrawn and the date on which
28 each request was postmarked (or if there is no legible postmark date, the date received by the

1 Administrator). A copy of the report will be provided to EA, CLC, and Class Counsel, who will
2 keep the report confidential.

3 26. Neither the Settlement, nor any exhibit, document or instrument delivered
4 thereunder shall be construed as or deemed to be evidence of an admission or concession by EA,
5 CLC, or the other Released Parties of an interpretation of, any liability or wrongdoing by EA or
6 CLC, or of the truth of any allegations asserted by Plaintiffs, Settlement Class Members or any
7 other person.

8 27. The Court finds that the Settlement, along with all related drafts, motions, court
9 papers, conversations, negotiations, mediations and correspondence, including statements made in
10 mediations or written submissions to the mediator, constitute an offer to compromise and a
11 compromise within the meaning of Federal Rule of Evidence 408, California Rule of Evidence
12 1152 and any equivalent rule of evidence of any state; and are privileged under Section 1119 of
13 the California Evidence Code.

14 28. Except as explicitly provided in the Settlement Agreement, neither the
15 Settlement—approved or not approved—nor any exhibit, document or instrument delivered
16 thereunder, nor any statement, transaction or proceeding in connection with the negotiation,
17 execution or implementation of the Settlement, shall be admissible in evidence in this or any
18 other proceeding for any purpose, including as evidence. Without limitation of the foregoing,
19 nothing contained in the Settlement, approved or not approved, nor any exhibit, document or
20 instrument delivered thereunder, nor any statement, transaction or proceeding in connection with
21 the negotiation, execution or implementation of the Settlement, shall be given any form of res
22 judicata, collateral estoppel or judicial estoppel effect against EA, CLC or the other Released
23 Parties in any administrative or judicial forum or proceeding.

24 29. Notwithstanding the foregoing, the Settlement, any order granting preliminary or
25 final approval of the Settlement and any appellate decision affirming Final Judgment is
26 admissible as follows.

27 (a) The Settlement is admissible by any Party for the purpose of obtaining
28 approval of, implementing and/or enforcing the Settlement.

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Opt-Out Deadline: ~~{INSERT}~~ _____
(60 days after Mailed Notice Date)

_____ Objection Deadline: ~~{INSERT}~~ _____
(60 days after Mailed Notice Date)

_____ Fairness Hearing: ~~{INSERT}~~ May 15, 2015 May 14, 2015, 2:00
p.m.
(This date could change. The parties should check the -Court's website to confirm.)

Dated this ____ day of _____, 2014.

The Honorable Claudia Wilken
United States Chief District Judge

EXHIBIT 2

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Counsel for Plaintiffs

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION**

SAMUEL MICHAEL KELLER, et al., on behalf
of themselves and all others similarly situated,

Plaintiffs,

v.

ELECTRONIC ARTS, INC.; NATIONAL
COLLEGIATE ATHLETICS ASSOCIATION;
COLLEGIATE LICENSING COMPANY,

Defendants.

Case No. 4:09-cv-1967 CW

[PROPOSED]

**ORDER GRANTING PRELIMINARY
APPROVAL OF CLASS ACTION
SETTLEMENT**

Judge: Hon. Claudia Wilken
Courtroom: 2, 4th Floor
Complaint Filed: May 5, 2009

1 Plaintiffs Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, and Bryon Bishop
2 (the “*Keller Named Plaintiffs*”), individually and as representatives of the Settlement Class defined
3 in Paragraph 4 below, and Defendant National Collegiate Athletic Association (“NCAA”) have
4 entered into an Amended Class Action Settlement Agreement and Release, including the Exhibits
5 incorporated therein (the “Settlement Agreement”), to settle the above-captioned lawsuit, including
6 *Bishop v. Electronic Arts, Inc., et al.*, No. 4:09-cv-04128-CW (the “Lawsuits”). The Settlement
7 Agreement sets forth the terms and conditions for a proposed Settlement and dismissal with
8 prejudice of the Lawsuits.

9 On June 30, 2014, the Parties submitted to the Court their original proposed settlement
10 agreement and related papers. (Dkt. 1138). On July 3, 2014, the Court conducted a telephone
11 status hearing to provide its comments on those papers. As a result of that status hearing, the
12 Parties submitted their current Settlement Agreement and related preliminary approval papers.
13 (Dkt. 1158.) On July 24, 2014, the Court held a preliminary approval hearing and provided the
14 Parties with additional comments on the proposed class notices. (Dkt. 1174.) The parties thereafter
15 submitted revised proposed class notices for the Court’s review.

16 This Court also has before it a motion for preliminary approval of a proposed class action
17 settlement in *In re NCAA Student-Athlete Name and Likeness Licensing Litigation*, No. 4:09-cv-
18 1967-CW (NC), between Electronic Arts Inc. (“EA”), Collegiate Licensing Company LLC
19 (“CLC”), and various named plaintiffs (the “EA Videogame Settlement”). The two proposed class
20 action settlements both concern the alleged use of NCAA men’s football and basketball players’
21 names, images, and likenesses in certain NCAA-Branded Videogames manufactured and
22 distributed by EA. Accordingly, the parties in both proposed settlements are attempting, to the
23 extent feasible, to coordinate the notice and claims administration of both settlements. Because the
24 two settlements are separate, however, the Court will issue separate orders in each settlement, and
25 will evaluate each proposed settlement on its own terms.

26 Regarding the proposed Settlement in the *Keller* and *Bishop* cases (i.e., the Lawsuits), the
27 Court has carefully considered all filings relating to the Settlement Agreement, the arguments of
28 counsel, and the record in this case, and is otherwise advised in the premises. The Court hereby

1 gives its preliminary approval to the Settlement and the Settlement Agreement; finds that the
2 Settlement and Settlement Agreement are sufficiently fair, reasonable, and adequate to allow
3 dissemination of notice of the Settlement to the Settlement Class and to hold a Fairness Hearing;
4 orders that Class Notice be sent to the Settlement Class in accordance with the Settlement
5 Agreement and this Order; and schedules a Fairness Hearing to determine whether the proposed
6 Settlement is fair, reasonable, and adequate.

7 IT IS HEREBY ORDERED AND ADJUDGED:

8 1. The Settlement Agreement is hereby incorporated by reference in this Order, and all
9 terms and phrases used in this Order shall have the same meaning as in the Settlement Agreement.

10 2. This Court has personal jurisdiction over all Settlement Class Members and subject
11 matter jurisdiction to approve the Settlement Agreement.

12 3. The Court preliminarily approves the Settlement Agreement and finds that the
13 proposed Settlement is sufficiently fair, reasonable, and adequate to warrant providing notice to the
14 Settlement Class. Exhibits B and C to the Settlement Agreement (the proposed class notices) are
15 approved as amended by Exhibits 1 and 2 to this Order.

16 4. The Court preliminarily certifies for settlement purposes only the following
17 Settlement Class pursuant to Federal Rule of Civil Procedure 23(b)(3):

18 All NCAA Division I football and men's basketball players (1) listed on a
19 roster published or issued by a school whose team was included in an NCAA-
20 Branded Videogame originally published or distributed from May 4, 2003 through
21 [date of preliminary approval], and (2) whose assigned jersey number appears on a
virtual player in the software, or whose photograph was otherwise included in the
software.

22 Excluded from the Settlement Class are EA, CLC, the NCAA, and their
23 officers, directors, legal representatives, heirs, successors, and wholly or partly
24 owned subsidiaries or affiliated companies; Class Counsel and their employees and
immediate family members; and the judicial officers and associated court staff
assigned to the Lawsuits and their immediate family members.

25 The NCAA and the Released Parties shall retain all rights to assert that the Lawsuits may not be
26 certified as a class action except for settlement purposes.

27 5. The Court finds, for purposes of preliminary approval and for settlement purposes
28 only, that (a) Members of the Settlement Class are so numerous as to make joinder of all

1 Settlement Class Members impracticable; (b) there are questions of law or fact common to
2 Members of the Settlement Class; (c) the claims of the *Keller* Named Plaintiffs are typical of the
3 claims of the Settlement Class Members; (d) the *Keller* Named Plaintiffs and Class Counsel will
4 fairly and adequately protect the interests of the Settlement Class Members; (e) questions of law or
5 fact common to the Settlement Class Members predominate over questions affecting only
6 individual Settlement Class Members; and (f) a class action is superior to other available methods
7 for the fair and efficient adjudication of the controversy.

8 6. The Court appoints the *Keller* Named Plaintiffs as representatives of the Settlement
9 Class.

10 7. The Court appoints Hagens Berman Sobol Shapiro LLP and The Paynter Law Firm
11 PLLC as Class Counsel.

12 8. The Court appoints Gilardi & Co. LLC as the Notice and Claims Administrator,
13 which shall administer the Settlement in accordance with the terms and conditions of this Order
14 and the Settlement Agreement.

15 9. The Court has reviewed and approves the Notice of Settlement of Class Action, the
16 content of which shall be without material alteration from Exhibit 1 to this Order.

17 10. The Court also approves the Claim Form, the content of which shall be without
18 material alteration from Exhibit D to the Settlement Agreement. To be considered timely, a Claim
19 Form must be submitted by a Settlement Class Member or that Settlement Class Member's Legally
20 Authorized Representative so that it is postmarked and mailed to the Notice and Claims
21 Administrator, or submitted online via the settlement website, by no later than April 30, 2015 (two
22 weeks before the Fairness Hearing). Any Claim Form postmarked or submitted after this date shall
23 be untimely and invalid. A Settlement Class Member may choose to file a single Claim Form to be
24 considered for payment in both the EA Videogame Settlement and this Settlement, unless the
25 Settlement Class Member has excluded himself from one of the two settlements (in which case, his
26 Claim Form will be considered for payment only in the settlement from which he did not exclude
27 himself), or unless the Settlement Class Member indicates on the Claim Form that he wishes to
28 submit a claim in only one settlement.

1 11. The Court approves the Settlement Agreement’s Class Notice plan. As part of that
2 Class Notice plan, the NCAA will request that its member institutions and affiliated alumni
3 associations provide to the Notice and Claims Administrator reasonably ascertainable information
4 regarding the names and last-known addresses of NCAA football and basketball players who were
5 listed on a roster published or issued by a school whose team was included in an NCAA-Branded
6 Videogame originally published or distributed during the *Keller* Right of Publicity Settlement
7 Class Period. To the extent that a member institution or affiliated alumni association declines to
8 provide such information, Class Counsel shall endeavor in good faith to obtain such information,
9 including when necessary by subpoena to such member institution or affiliated alumni association
10 (to the extent Class Counsel have not already done so), and shall forward any information received
11 to the Notice and Claims Administrator. All names and addresses obtained through these sources
12 shall be protected as confidential and not used for purposes other than the notice and administration
13 of this Settlement, unless otherwise required by law or court order. Before mailing the Notice of
14 Settlement of Class Action, the Claims Administrator shall follow the procedures for updating the
15 addresses set out in Paragraph 65 of the Settlement Agreement.

16 12. The Parties and the Notice and Claims Administrator shall use their best efforts to
17 complete the mailing of the Notice of Settlement of Class Action to potential Settlement Class
18 Members within one hundred twenty (120) days after entry of this Order (_____)
19 (the “Mailed Notice Date”). The Court further directs the Notice and Claims Administrator to re-
20 mail any mailings returned as undeliverable in accordance with the procedures in Paragraph 66 of
21 the Settlement Agreement. The Notice and Claims Administrator shall file proof of mailing of the
22 Notice of Settlement of Class Action at or before the Fairness Hearing.

23 13. In addition to the Notice of Class Action Settlement described above, the Court
24 directs the Notice and Claims Administrator to establish a content-neutral settlement website as
25 described in Paragraphs 61 and 69 of the Settlement Agreement. The website shall include, at a
26 minimum, copies of the Settlement Agreement, the Notice of Settlement of Class Action, and the
27 Preliminary Approval Order; provide an online means of submitting a Claim Form; identify
28 important deadlines and provide answers to frequently asked questions; and may be amended as

1 appropriate during the course of the Settlement administration.

2 14. In addition, the Court orders the Parties to implement the plan for publication notice
3 described in Paragraph 69 of the Settlement Agreement. The Court has reviewed and approves the
4 Summary Notice of Settlement of Class Action, the content of which shall be without material
5 alteration from Exhibit 2 to this Order.

6 15. The Court finds that the procedures outlined in the Settlement Agreement for
7 identifying potential Settlement Class Members and providing notice to them are reasonable and
8 the best practicable notice under the circumstances and an appropriate and sufficient effort to locate
9 current addresses for potential Settlement Class Members such that no additional efforts to do so
10 shall be required.

11 16. The Court directs the Notice and Claims Administrator to maintain a toll-free VRU
12 telephone system containing recorded answers to frequently asked questions, along with an option
13 permitting Settlement Class Members to speak to live operators or leave messages in a voicemail
14 box.

15 17. The Court finds that the Class Notice plan, including the form, content, and method
16 of dissemination of the Class Notice to Settlement Class Members as described in the Settlement
17 Agreement, (i) is the best practicable notice; (ii) is reasonably calculated, under the circumstances,
18 to apprise Settlement Class Members of the pendency of the Lawsuits and of their right to object to
19 or exclude themselves from the proposed Settlement; (iii) is reasonable and constitutes due,
20 adequate, and sufficient notice to all Persons entitled to receive notice; and (iv) meets all applicable
21 requirements of Federal Rule of Civil Procedure 23 and due process.

22 18. The Court approves the procedures set forth in the Settlement Agreement and the
23 Notice of Settlement of Class Action for exclusions from and objections to the Settlement. Unlike
24 Claim Forms, exclusion requests and objections must be submitted separately for this Settlement
25 and for the EA Videogame Settlement.

26 19. Any Settlement Class Member who wishes to exclude himself from the Settlement
27 Class must comply with the terms set forth in the Settlement Agreement and the Notice of
28 Settlement of Class Action. To be considered timely, a request for exclusion must be mailed to the

1 Notice and Claims Administrator postmarked no later than _____ (60 days
2 after the Mailed Notice Date) (“Exclusion/Objection Deadline”). Requests for exclusion must be
3 exercised individually by a Settlement Class Member, not as or on behalf of a group, class, or
4 subclass, except that such exclusion requests may be submitted on behalf of an individual
5 Settlement Class Member by that Settlement Class Member’s Legally Authorized Representative.

6 20. Any Settlement Class Member who does not submit a timely, written request for
7 exclusion from the Settlement Class will be bound by all proceedings, orders, and judgments in the
8 Lawsuits, even if the Settlement Class Member has previously initiated or subsequently initiates
9 individual litigation or other proceedings encompassed by the Released Claims, and even if such
10 Settlement Class Member never received actual notice of the Lawsuits or this proposed Settlement.

11 21. The Court preliminarily enjoins all Settlement Class Members and their Legally
12 Authorized Representatives, unless and until they submit a timely request for exclusion pursuant to
13 the Settlement Agreement, (i) from filing, commencing, prosecuting, intervening in, or
14 participating as plaintiff, claimant, or class member in any other lawsuit or administrative,
15 regulatory, arbitration, or other proceeding in any jurisdiction based on the Released Claims;
16 (ii) from filing, commencing, or prosecuting a lawsuit or administrative, regulatory, arbitration, or
17 other proceeding as a class action on behalf of any Settlement Class Members (including by
18 seeking to amend a pending complaint to include class allegations or seeking class certification in a
19 pending action), based on the Released Claims; and (iii) from attempting to effect an opt-out of a
20 group, class, or subclass of individuals in any lawsuit or administrative, regulatory, arbitration, or
21 other proceeding based on the Released Claims.

22 22. Before the Fairness Hearing, the Notice and Claims Administrator shall file with the
23 Court a list of all Settlement Class Members who submitted timely requests for exclusion, and also
24 file an affidavit or declaration attesting to the accuracy of that list.

25 23. Each Settlement Class Member who has not submitted a timely request for
26 exclusion from the Settlement Class, and any governmental entity, who wishes to object to the
27 fairness, reasonableness, or adequacy of the Settlement Agreement or any term or aspect of the
28 proposed settlement must mail to the Notice and Claims Administrator (who shall forward it to

1 Class Counsel and Counsel for the NCAA) and file with the Court no later than
2 _____ (60 days after the Mailed Notice Date) a statement of the
3 objection, as well as the specific legal and factual reasons for each objection, or be forever barred
4 from objection. The objection must comply with Paragraphs 99-105 of the Settlement Agreement
5 and the Notice of Settlement of Class Action, and contain at least the following: (1) the objector's
6 full name, address, telephone number, and signature; (2) a heading that refers to the NCAA
7 Videogame Settlement; (3) a statement of the specific legal and factual basis for each objection;
8 and (4) a statement whether the objecting Person or entity intends to appear at the Fairness
9 Hearing, either in person or through counsel and, if through counsel, a statement identifying that
10 counsel by name, bar number, address, and telephone number. All objections shall be signed by
11 the objecting Settlement Class Member (or his Legally Authorized Representative), even if the
12 Settlement Class Member is represented by counsel.

13 24. Any attorney hired by, representing, or assisting (including, but not limited to, by
14 drafting or preparing papers for a Settlement Class Member) a Settlement Class Member or
15 governmental entity for the purpose of objecting to any term or aspect of the Settlement Agreement
16 or to the proposed Settlement shall mail to the Settlement Administrator (who shall forward it to
17 Class Counsel and Counsel for the NCAA) and file with the Clerk of the Court a notice of
18 appearance no later than _____ (60 days after the Mailed Notice Date).

19 25. The right to object to the proposed Settlement must be exercised individually by a
20 Settlement Class Member or governmental entity or his or its attorney, and not as a member of a
21 group, class, or subclass, except that such objections may be submitted by a Settlement Class
22 Member's Legally Authorized Representative.

23 26. The Court directs the Notice and Claims Administrator to rent a post office box to
24 be used for receiving objections, notices of intention to appear, and any other settlement-related
25 communications.

26 27. The Court directs the Notice and Claims Administrator promptly to furnish Class
27 Counsel and Counsel for NCAA copies of any and all objections, motions to intervene, notices of
28 intention to appear, and other communications that come into its possession (except as otherwise

1 expressly provided in the Settlement Agreement).

2 28. The Court orders that the certification of the Settlement Class and preliminary
3 approval of the proposed Settlement, and all actions associated with them, are undertaken on the
4 condition that they shall be vacated if the Settlement Agreement is terminated or disapproved in
5 whole or in part by the Court, or any appellate court and/or other court of review, or if any of the
6 Parties invokes the right to withdraw from the Settlement as provided in Paragraphs 86-87 of the
7 Settlement Agreement, in which event the Settlement Agreement and the fact that it was entered
8 into shall not be offered, received, or construed as an admission or as evidence for any purpose,
9 including but not limited to an admission by any Party of liability or non-liability or of any
10 misrepresentation or omission in any statement or written document approved or made by any
11 Party, or of the certifiability of a litigation class, or otherwise be used by any Person for any
12 purpose whatsoever, in any trial of these Lawsuits or any other action or proceedings, as further
13 provided in the Settlement Agreement.

14 29. By _____ (21 days after entry of this Order), NCAA shall pay
15 into the Escrow Account the sum of \$1,000,000, to be used by the Notice and Claims
16 Administrator at the direction of Class Counsel for reasonable costs in connection with providing
17 notice of the Settlement to Settlement Class Members. The Escrow Account shall be governed by
18 the terms of the Settlement Agreement and the Escrow Agreement to be negotiated by the Parties.

19 30. The Court stays all proceedings in the Lawsuits until further order of the Court,
20 except that the Parties may conduct such limited proceedings as may be necessary to implement the
21 proposed Settlement or to effectuate the terms of the Settlement Agreement.

22 31. Class Counsel shall file a petition for fees, expenses, and incentive awards by
23 _____ (21 days before the Exclusion/Objection Deadline). Class
24 Counsel shall file reply briefs and any other supplemental final approval papers by April 30, 2015
25 (14 days before the Fairness Hearing).

26 32. The Fairness Hearing shall be held at 2:00 p.m. on May 14, 2015 for the purpose of
27 determining (a) whether the Settlement is fair, reasonable, and adequate and should be finally
28 approved by the Court; (b) the merit of any objections to the Settlement; (c) the requested Fee and

1 Expense Award to Class Counsel; (d) the requested Incentive Awards to the *Keller* Named
2 Plaintiffs; and (e) entry of the District Court Final Approval Order and Judgment approving the
3 Settlement.

4 33. The Court may, for good cause, extend any of the deadlines set forth in this Order
5 without further notice to the Settlement Class.

6 Dated: _____

7 Chief Judge Claudia Wilken
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EXHIBIT 2a

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Counsel for Plaintiffs

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION**

SAMUEL MICHAEL KELLER, et al., on behalf
of themselves and all others similarly situated,

Plaintiffs,

v.

ELECTRONIC ARTS, INC.; NATIONAL
COLLEGIATE ATHLETICS ASSOCIATION;
COLLEGIATE LICENSING COMPANY,

Defendants.

Case No. 4:09-cv-1967 CW

[PROPOSED]

**ORDER GRANTING PRELIMINARY
APPROVAL OF CLASS ACTION
SETTLEMENT**

Judge: Hon. Claudia Wilken
Courtroom: 2, 4th Floor
Complaint Filed: May 5, 2009

1 Plaintiffs Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, and Bryon Bishop
2 (the “*Keller* Named Plaintiffs”), individually and as representatives of the Settlement Class defined
3 in Paragraph 4 below, and Defendant National Collegiate Athletic Association (“NCAA”) have
4 entered into an Amended Class Action Settlement Agreement and Release, including the Exhibits
5 incorporated therein (the “Settlement Agreement”), to settle the above-captioned lawsuit, including
6 *Bishop v. Electronic Arts, Inc., et al.*, No. 4:09-cv-04128-CW (the “Lawsuits”). The Settlement
7 Agreement sets forth the terms and conditions for a proposed Settlement and dismissal with
8 prejudice of the Lawsuits.

9 On June 30, 2014, the Parties submitted to the Court their original proposed settlement
10 agreement and related papers. (Dkt. 1138). On July 3, 2014, the Court conducted a telephone
11 status hearing to provide its comments on those papers. As a result of that status hearing, the
12 Parties submitted their current Settlement Agreement and related preliminary approval papers.
13 (Dkt. 1158.) On July 24, 2014, the Court held a preliminary approval hearing and provided the
14 Parties with additional comments on the proposed class notices. (Dkt. 1174.) The parties thereafter
15 submitted revised proposed class notices for the Court’s review.

16 This Court also has before it a motion for preliminary approval of a proposed class action
17 settlement in *In re NCAA Student-Athlete Name and Likeness Licensing Litigation*, No. 4:09-cv-
18 1967-CW (NC), between Electronic Arts Inc. (“EA”), Collegiate Licensing Company LLC
19 (“CLC”), and various named plaintiffs (the “EA Videogame Settlement”). The two proposed class
20 action settlements both concern the alleged use of NCAA men’s football and basketball players’
21 names, images, and likenesses in certain NCAA-Branded Videogames manufactured and
22 distributed by EA. Accordingly, the parties in both proposed settlements are attempting, to the
23 extent feasible, to coordinate the notice and claims administration of both settlements. Because the
24 two settlements are separate, however, the Court will issue separate orders in each settlement, and
25 will evaluate each proposed settlement on its own terms.

26 Regarding the proposed Settlement in the *Keller* and *Bishop* cases (i.e., the Lawsuits), the
27 Court has carefully considered all filings relating to the Settlement Agreement, the arguments of
28 counsel, and the record in this case, and is otherwise advised in the premises. The Court hereby

1 gives its preliminary approval to the Settlement and the Settlement Agreement; finds that the
2 Settlement and Settlement Agreement are sufficiently fair, reasonable, and adequate to allow
3 dissemination of notice of the Settlement to the Settlement Class and to hold a Fairness Hearing;
4 orders that Class Notice be sent to the Settlement Class in accordance with the Settlement
5 Agreement and this Order; and schedules a Fairness Hearing to determine whether the proposed
6 Settlement is fair, reasonable, and adequate.

7 IT IS HEREBY ORDERED AND ADJUDGED:

8 1. The Settlement Agreement is hereby incorporated by reference in this Order, and all
9 terms and phrases used in this Order shall have the same meaning as in the Settlement Agreement.

10 2. This Court has personal jurisdiction over all Settlement Class Members and subject
11 matter jurisdiction to approve the Settlement Agreement.

12 3. The Court preliminarily approves the Settlement Agreement and finds that the
13 proposed Settlement is sufficiently fair, reasonable, and adequate to warrant providing notice to the
14 Settlement Class. Exhibits B and C to the Settlement Agreement (the proposed class notices) are
15 approved as amended by Exhibits 1 and 2 to this Order.

16 4. The Court preliminarily certifies for settlement purposes only the following
17 Settlement Class pursuant to Federal Rule of Civil Procedure 23(b)(3):

18 All NCAA Division I football and men's basketball players (1) listed on a
19 roster published or issued by a school whose team was included in an NCAA-
20 Branded Videogame originally published or distributed from May 4, 2003 through
21 [date of preliminary approval], and (2) whose assigned jersey number appears on a
virtual player in the software, or whose photograph was otherwise included in the
software.

22 Excluded from the Settlement Class are EA, CLC, the NCAA, and their
23 officers, directors, legal representatives, heirs, successors, and wholly or partly
24 owned subsidiaries or affiliated companies; Class Counsel and their employees and
immediate family members; and the judicial officers and associated court staff
assigned to the Lawsuits and their immediate family members.

25 The NCAA and the Released Parties shall retain all rights to assert that the Lawsuits may not be
26 certified as a class action except for settlement purposes.

27 5. The Court finds, for purposes of preliminary approval and for settlement purposes
28 only, that (a) Members of the Settlement Class are so numerous as to make joinder of all

1 the Settlement Class Member indicates on the Claim Form that he wishes to submit a claim in only
2 one settlement.

3 11. The Court approves the Settlement Agreement's Class Notice plan. As part of that
4 Class Notice plan, the NCAA will request that its member institutions and affiliated alumni
5 associations provide to the Notice and Claims Administrator reasonably ascertainable information
6 regarding the names and last-known addresses of NCAA football and basketball players who were
7 listed on a roster published or issued by a school whose team was included in an NCAA-Branded
8 Videogame originally published or distributed during the *Keller* Right of Publicity Settlement
9 Class Period. To the extent that a member institution or affiliated alumni association declines to
10 provide such information, Class Counsel shall endeavor in good faith to obtain such information,
11 including when necessary by subpoena to such member institution or affiliated alumni association
12 (to the extent Class Counsel have not already done so), and shall forward any information received
13 to the Notice and Claims Administrator. All names and addresses obtained through these sources
14 shall be protected as confidential and not used for purposes other than the notice and administration
15 of this Settlement, unless otherwise required by law or court order. Before mailing the Notice of
16 Settlement of Class Action, the Claims Administrator shall follow the procedures for updating the
17 addresses set out in Paragraph 65 of the Settlement Agreement.

18 12. The Parties and the Notice and Claims Administrator shall use their best efforts to
19 complete the mailing of the Notice of Settlement of Class Action to potential Settlement Class
20 Members within one hundred twenty (120) days after entry of this Order (_____)
21 (the "Mailed Notice Date"). The Court further directs the Notice and Claims Administrator to re-
22 mail any mailings returned as undeliverable in accordance with the procedures in Paragraph 66 of
23 the Settlement Agreement. The Notice and Claims Administrator shall file proof of mailing of the
24 Notice of Settlement of Class Action at or before the Fairness Hearing.

25 13. In addition to the Notice of Class Action Settlement described above, the Court
26 directs the Notice and Claims Administrator to establish a content-neutral settlement website as
27 described in Paragraphs 61 and 69 of the Settlement Agreement. The website shall include, at a
28 minimum, copies of the Settlement Agreement, the Notice of Settlement of Class Action, and the

1 Preliminary Approval Order; provide an online means of submitting a Claim Form; identify
2 important deadlines and provide answers to frequently asked questions; and may be amended as
3 appropriate during the course of the Settlement administration.

4 14. In addition, the Court orders the Parties to implement the plan for publication notice
5 described in Paragraph 69 of the Settlement Agreement. The Court has reviewed and approves the
6 Summary Notice of Settlement of Class Action, the content of which shall be without material
7 alteration from Exhibit ~~C2~~ to ~~the Settlement Agreement~~this Order.

8 15. The Court finds that the procedures outlined in the Settlement Agreement for
9 identifying potential Settlement Class Members and providing notice to them are reasonable and
10 the best practicable notice under the circumstances and an appropriate and sufficient effort to locate
11 current addresses for potential Settlement Class Members such that no additional efforts to do so
12 shall be required.

13 16. The Court directs the Notice and Claims Administrator to maintain a toll-free VRU
14 telephone system containing recorded answers to frequently asked questions, along with an option
15 permitting Settlement Class Members to speak to live operators or leave messages in a voicemail
16 box.

17 17. The Court finds that the Class Notice plan, including the form, content, and method
18 of dissemination of the Class Notice to Settlement Class Members as described in the Settlement
19 Agreement, (i) is the best practicable notice; (ii) is reasonably calculated, under the circumstances,
20 to apprise Settlement Class Members of the pendency of the Lawsuits and of their right to object to
21 or exclude themselves from the proposed Settlement; (iii) is reasonable and constitutes due,
22 adequate, and sufficient notice to all Persons entitled to receive notice; and (iv) meets all applicable
23 requirements of Federal Rule of Civil Procedure 23 and due process.

24 18. The Court approves the procedures set forth in the Settlement Agreement and the
25 Notice of Settlement of Class Action for exclusions from and objections to the Settlement. Unlike
26 Claim Forms, exclusion requests and objections must be submitted separately for this Settlement
27 and for the EA Videogame Settlement.

28 19. Any Settlement Class Member who wishes to exclude himself from the Settlement

1 Class must comply with the terms set forth in the Settlement Agreement and the Notice of
2 Settlement of Class Action. To be considered timely, a request for exclusion must be mailed to the
3 Notice and Claims Administrator postmarked no later than _____ (60 days
4 after the Mailed Notice Date). (“Exclusion/Objection Deadline”). Requests for exclusion must be
5 exercised individually by a Settlement Class Member, not as or on behalf of a group, class, or
6 subclass, except that such exclusion requests may be submitted on behalf of an individual
7 Settlement Class Member by that Settlement Class Member’s Legally Authorized Representative.

8 20. Any Settlement Class Member who does not submit a timely, written request for
9 exclusion from the Settlement Class will be bound by all proceedings, orders, and judgments in the
10 Lawsuits, even if the Settlement Class Member has previously initiated or subsequently initiates
11 individual litigation or other proceedings encompassed by the Released Claims, and even if such
12 Settlement Class Member never received actual notice of the Lawsuits or this proposed Settlement.

13 21. The Court preliminarily enjoins all Settlement Class Members and their Legally
14 Authorized Representatives, unless and until they submit a timely request for exclusion pursuant to
15 the Settlement Agreement, (i) from filing, commencing, prosecuting, intervening in, or
16 participating as plaintiff, claimant, or class member in any other lawsuit or administrative,
17 regulatory, arbitration, or other proceeding in any jurisdiction based on the Released Claims;
18 (ii) from filing, commencing, or prosecuting a lawsuit or administrative, regulatory, arbitration, or
19 other proceeding as a class action on behalf of any Settlement Class Members (including by
20 seeking to amend a pending complaint to include class allegations or seeking class certification in a
21 pending action), based on the Released Claims; and (iii) from attempting to effect an opt-out of a
22 group, class, or subclass of individuals in any lawsuit or administrative, regulatory, arbitration, or
23 other proceeding based on the Released Claims.

24 22. Before the Fairness Hearing, the Notice and Claims Administrator shall file with the
25 Court a list of all Settlement Class Members who submitted timely requests for exclusion, and also
26 file an affidavit or declaration attesting to the accuracy of that list.

27 23. Each Settlement Class Member who has not submitted a timely request for
28 exclusion from the Settlement Class, and any governmental entity, who wishes to object to the

1 fairness, reasonableness, or adequacy of the Settlement Agreement or any term or aspect of the
2 proposed settlement must mail to the Notice and Claims Administrator (who shall forward it to
3 Class Counsel and Counsel for the NCAA) and file with the Court no later than
4 _____ (60 days after the Mailed Notice Date) a statement of the
5 objection, as well as the specific legal and factual reasons for each objection, or be forever barred
6 from objection. The objection must comply with Paragraphs 99-105 of the Settlement Agreement
7 and the Notice of Settlement of Class Action, and contain at least the following: (1) the objector's
8 full name, address, telephone number, and signature; (2) a heading that refers to the ~~Lawsuits by~~
9 ~~case name and number~~ NCAA Videogame Settlement; (3) a statement of the specific legal and
10 factual basis for each objection; and (4) a statement whether the objecting Person or entity intends
11 to appear at the Fairness Hearing, either in person or through counsel and, if through counsel, a
12 statement identifying that counsel by name, bar number, address, and telephone number. All
13 objections shall be signed by the objecting Settlement Class Member (or his Legally Authorized
14 Representative), even if the Settlement Class Member is represented by counsel.

15 24. Any attorney hired by, representing, or assisting (including, but not limited to, by
16 drafting or preparing papers for a Settlement Class Member) a Settlement Class Member or
17 governmental entity for the purpose of objecting to any term or aspect of the Settlement Agreement
18 or to the proposed Settlement shall mail to the Settlement Administrator (who shall forward it to
19 Class Counsel and Counsel for the NCAA) and file with the Clerk of the Court a notice of
20 appearance no later than _____ (60 days after the Mailed Notice Date).

21 25. The right to object to the proposed Settlement must be exercised individually by a
22 Settlement Class Member or governmental entity or his or its attorney, and not as a member of a
23 group, class, or subclass, except that such objections may be submitted by a Settlement Class
24 Member's Legally Authorized Representative.

25 26. The Court directs the Notice and Claims Administrator to rent a post office box to
26 be used for receiving objections, notices of intention to appear, and any other settlement-related
27 communications.

28 27. The Court directs the Notice and Claims Administrator promptly to furnish Class

1 Counsel and Counsel for NCAA copies of any and all objections, motions to intervene, notices of
2 intention to appear, and other communications that come into its possession (except as otherwise
3 expressly provided in the Settlement Agreement).

4 28. The Court orders that the certification of the Settlement Class and preliminary
5 approval of the proposed Settlement, and all actions associated with them, are undertaken on the
6 condition that they shall be vacated if the Settlement Agreement is terminated or disapproved in
7 whole or in part by the Court, or any appellate court and/or other court of review, or if any of the
8 Parties invokes the right to withdraw from the Settlement as provided in Paragraphs 86-87 of the
9 Settlement Agreement, in which event the Settlement Agreement and the fact that it was entered
10 into shall not be offered, received, or construed as an admission or as evidence for any purpose,
11 including but not limited to an admission by any Party of liability or non-liability or of any
12 misrepresentation or omission in any statement or written document approved or made by any
13 Party, or of the certifiability of a litigation class, or otherwise be used by any Person for any
14 purpose whatsoever, in any trial of these Lawsuits or any other action or proceedings, as further
15 provided in the Settlement Agreement.

16 29. By _____ (21 days after entry of this Order), NCAA shall pay
17 into the Escrow Account the sum of \$1,000,000, to be used by the Notice and Claims
18 Administrator at the direction of Class Counsel for reasonable costs in connection with providing
19 notice of the Settlement to Settlement Class Members. The Escrow Account shall be governed by
20 the terms of the Settlement Agreement and the Escrow Agreement to be negotiated by the Parties.

21 30. The Court stays all proceedings in the Lawsuits until further order of the Court,
22 except that the Parties may conduct such limited proceedings as may be necessary to implement the
23 proposed Settlement or to effectuate the terms of the Settlement Agreement.

24 31. Class Counsel shall file a petition for fees, expenses, and incentive awards by
25 _____ (21 days before the Exclusion/Objection Deadline). Class
26 Counsel shall file reply briefs and any other supplemental final approval papers by
27 ~~_____~~ May 1, 2015 ~~April 30, 2015~~ (14 days before the Fairness
28 Hearing).

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32. The Fairness Hearing shall be held at ~~_____~~ 2:00 p.m. on ~~_____~~ May 15, 2015 ~~_____~~ May 14, 2015 for the purpose of determining (a) whether the Settlement is fair, reasonable, and adequate and should be finally approved by the Court; (b) the merit of any objections to the Settlement; (c) the requested Fee and Expense Award to Class Counsel; (d) the requested Incentive Awards to the *Keller* Named Plaintiffs; and (e) entry of the District Court Final Approval Order and Judgment approving the Settlement.

33. The Court may, for good cause, extend any of the deadlines set forth in this Order without further notice to the Settlement Class.

Dated: _____

Chief Judge Claudia Wilken

EXHIBIT 3

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

If You Were Listed on a Roster of an NCAA Division I Men's Football or Basketball Team, and That Team Was Included in One of EA's Videogames Between May 4, 2003 and [preliminary approval date], You Could Be Affected by Two Proposed Class Action Settlements.

A federal court authorized this Notice. This is not a solicitation from a lawyer.

If you are a Class Member, your legal rights are affected whether you act or don't act.

Please Read This Notice and the Enclosed Claim Form Carefully

This Notice provides information about two proposed class action settlements concerning the alleged use of National Collegiate Athletic Association ("NCAA") men's football and basketball players' names, images, and likenesses in certain NCAA-Branded Videogames that were manufactured and distributed by Electronic Arts Inc. ("EA").

The EA Videogame Settlement:

There are class action lawsuits pending against EA and Collegiate Licensing Company LLC ("CLC"). These lawsuits involve, among other things, claims by student-athletes that EA and CLC (representing various NCAA member schools and sometimes the NCAA) violated their legal rights by allegedly licensing, using, and/or selling athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. EA and CLC deny these allegations and deny any other wrongdoing. The Court has not ruled on the merits of these claims. *See Question 2, below, for more information about these lawsuits.*

A proposed settlement of \$40 million has been reached between EA and the student-athletes who brought these cases. If the Court approves it, the EA Videogame Settlement will resolve these cases as to both EA and CLC.

The NCAA Videogame Settlement:

There are class action lawsuits pending against the NCAA. These lawsuits involve, among other things, claims by student-athletes that the NCAA violated their legal rights by allegedly participating in the license, use, and/or sale of athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. The NCAA denies these allegations and denies any other wrongdoing. The Court has not yet ruled in favor of either the NCAA or the student-athletes on these claims. *See Question 2, below, for more information on these lawsuits.*

A proposed settlement of \$20 million has been reached between the NCAA and the student-athletes who brought some of these cases. If the Court approves it, the NCAA Videogame Settlement will resolve these cases as to the NCAA.

YOU MAY BE A MEMBER OF ONE OR BOTH CLASS ACTION SETTLEMENTS. Although the two proposed settlements are similar in some respects, they contain different provisions, and it is possible that the

Are these settlements related to the trial against the NCAA I've heard about?

You may have heard recently about a trial in a case by student-athletes (led by Edward O'Bannon) against the NCAA. Although the trial involved claims that the NCAA used student-athlete likenesses without permission, those claims were against the NCAA only for violations of antitrust laws. Also, unlike the claims being resolved by this settlement, the claims in the trial involved a request for injunctive relief (a court order discontinuing certain practices). The claims in the trial did not involve claims for cash payments for past conduct.

[Alt. A:] The trial in that case ended on June 27, 2014, and on August 8, the Court granted in part and denied in part the student-athletes' requested injunction, and the decision is available for review at [Settlement Website]. The NCAA has appealed. [Alt. B:] The trial in that case ended on June 27, 2014, and the Court ruled in favor of the student-athletes. The decision is available for review at [Settlement Website].

Court could rule differently on each settlement. Please read this entire Notice carefully to make sure that you understand both settlements.

The Court in charge of these cases still has to decide whether to approve these settlements, and payments will only be made if the Court approves the settlements, and after any appeals are resolved. Please be patient, as this process can take a long time.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THE TWO SETTLEMENTS	
SUBMIT A CLAIM FORM	<ul style="list-style-type: none"> • This is the only way to get a payment under the settlements. • You can submit one Claim Form for both settlements. • <i>See the enclosed Claim Form for more details.</i> • Requesting or Receiving a Payment Under Either of These Settlements Will NOT Affect Your NCAA Eligibility.
EXCLUDE YOURSELF FROM ONE OR BOTH SETTLEMENTS	<ul style="list-style-type: none"> • Excluding yourself means you get no payment. • This is the only way you can ever be a part of any other case against EA, CLC, or the NCAA about the claims being resolved by these settlements. • You must submit a separate request for exclusion for each settlement. • <i>See Questions 16–18.</i>
OBJECT TO ONE OR BOTH SETTLEMENTS	<ul style="list-style-type: none"> • Write to the Court about why you don't like the settlements. • You must submit a separate objection for each settlement. • You cannot object in order to ask the Court for a higher payment for <i>yourself personally</i>, although you can object to the payment terms (or any other terms) that apply generally to the Class. • <i>See Questions 21–22.</i>
GO TO A HEARING	<ul style="list-style-type: none"> • Ask to speak in Court about the fairness of the settlements. • The Court will hold a hearing for both settlements on the same day to decide whether to approve the settlements. • <i>See Question 23.</i>
DO NOTHING	<ul style="list-style-type: none"> • Get no payment. Give up rights.

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BASIC INFORMATION

1. Why did I get this Notice?

You may have been on the roster of an NCAA Division I men's basketball or football team that was included in one of EA's NCAA-Branded Videogames from May 4, 2003 through [preliminary approval date], and you therefore may be a Member of one or both settlements. The fact that you have received this Notice does not necessarily mean that you are a Class Member in either settlement. *See Questions 5–7 for more details on how you can determine if you are a Class Member in the settlements.*

You were sent this Notice because, as a possible Class Member, you have a right to know about the two proposed class action settlements, and about all your options, before the Court decides whether to give “final approval” to the settlements. If the Court approves the settlements, payments will be made to those who qualify, but only after any objections and appeals are resolved.

This Notice explains the various lawsuits, the settlements, your legal rights, what benefits are available under the settlements, who is eligible for them, and how to get them. **You must submit a valid Claim Form to receive a payment under these settlements.** *See Questions 11–15 for more details on Claim Forms and the deadline to submit them.*

2. What are these lawsuits about?

There are class action cases pending against EA, CLC, and the NCAA. These cases deal with the alleged use of NCAA men's football and basketball players' names, images, and likenesses in EA's NCAA-Branded Videogames. These cases involve different claims and time periods. Generally speaking, though, the cases allege, among other things, that the NCAA, CLC, and EA violated the legal rights of student-athletes by using their names, images, and likenesses in EA's Videogames since May 4, 2003, both during and after the student-athletes' involvement in NCAA athletics.

EA, CLC, and the NCAA have denied the claims and have asserted various defenses to the claims.

3. What is a class action and who are the Parties?

In a class action lawsuit, one or more people, called “Class Representatives,” sue on behalf of people who have similar claims. All these people together are a “Class” or “Class Members.” One court resolves the issues for all Class Members, except for those who choose to exclude themselves from the Class (*see Question 16*).

The **Class Representatives** (or “**Plaintiffs**”) in the cases involved in these settlements are or were all NCAA student-athletes:

- The Class Representatives in the **EA Videogame Settlement** are Edward C. O'Bannon Jr., Oscar Robertson, William Russell, Harry Flournoy, Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake Fischer, Jake Smith, Darius Robinson, Moses Alipate, Chase Garnham, Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, Bryon Bishop, Ryan Hart, and Shawne Alston.
- The Class Representatives in the **NCAA Videogame Settlement** are Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, and Bryon Bishop.

The **Defendants**, or people being sued, in the cases are EA, CLC, and the NCAA.

Together, the Class Representatives and the Defendants are called the “Parties.”

4. Why is there a settlement?

EA, CLC, and NCAA have denied all liability in the lawsuits and have asserted various defenses to Plaintiffs' claims. The Court did not decide in favor of any Plaintiff or any Defendant on the legal claims being resolved here. Instead, all sides agreed to a settlement, which avoids the risk and cost of a trial, but still compensates the people affected. The Class Representatives and their attorneys think that both settlements are in the best interests of Class Members and that both settlements are fair, adequate, and reasonable.

WHO IS IN THE TWO SETTLEMENTS

To see if you are affected by the two proposed settlements, you first have to determine if you are a Class Member in one or both settlements.

5. How do I know if I am part of the settlements? What are the Class definitions?

If you fall under the Class definition below for a settlement, you are a Class Member in that settlement and may be eligible for a cash payment. *See Questions 9–15 for more details about how the amount of your payment will be calculated. You may be a Class Member in both settlements.*

EA Videogame Settlement: Any NCAA Division I football and men's basketball player who **(1)** was listed on a roster published or issued by a school whose team was included in an NCAA-Branded Videogame originally published or distributed from July 21, 2005 through [preliminary approval date], **or (2)** was listed on such a roster from May 4, 2003 and whose jersey number or photograph was used in such a videogame.

NCAA Videogame Settlement: All NCAA Division I football and men's basketball players who were **(1)** listed on a roster published or issued by a school whose team was included in an NCAA-Branded Videogame originally published or distributed from May 4, 2003 through [preliminary approval date], **and (2)** whose assigned jersey number appears on a virtual player in the software, or whose photograph was otherwise included in the software.

Excluded from the Classes are EA, CLC, the NCAA, and their officers, directors, legal representatives, heirs, successors, and wholly or partly owned subsidiaries or affiliated companies, Class Counsel and their employees, and their immediate family members, and the judicial officers, and associated court staff assigned to the cases involved in these settlements and their immediate family members.

The definitions above are summaries, and are not the exact definitions found in the Settlement Agreements. The specific definitions are set out in more detail in the Settlement Agreements, which are posted on www._____settlement.com. (*See EA Videogame Settlement Agreement ¶¶ _____ and NCAA Videogame Settlement Agreement ¶¶ _____.*)

6. What is an "NCAA-Branded Videogame"?

"NCAA-Branded Videogame" means every edition of *NCAA Football*, *NCAA Basketball*, and *NCAA March Madness* (on any videogame platform) originally published or distributed by EA between May 4, 2003 and [preliminary approval date].

7. I'm still not sure if I am included.

If you are still not sure whether you are included, you can get free help by contacting the Settlement Administrator using any of the methods listed in Question 27.

You are not required to pay anyone to assist you in filing a claim or obtaining information about the settlements.

THE SETTLEMENT BENEFITS—WHAT YOU GET IF YOU QUALIFY

8. What do the proposed settlements provide?

Both proposed settlements provide for cash payments to eligible Class Members.

The EA Videogame Settlement has a total Settlement Fund of \$40 million. The NCAA Videogame Settlement has a total Settlement Fund of \$20 million.

Each Settlement has a proposed Distribution Plan. Under both Distribution Plans, each Settlement Fund will first be used to pay for (1) the costs of class notice and administration, and (2) the attorneys' fees, expenses, and incentive awards approved by the Court (*see Question 20 for more details on these awards*).

The remainder of the Settlement Fund for each settlement (called the “**Net Settlement Fund**”) will then be distributed to qualifying Class Members, as described in Question 9.

9. How will claim payments be calculated?

Payments to qualifying Class Members will be made based on each Class Member's share of that settlement's “Net Settlement Fund.” A Class Member's share will be determined by the number of “Points” earned by the Class Member, as explained below. *See Question 11 for details on how to get a payment if you are eligible.*

Unless you exclude yourself (*see Questions 16–18*), or decide to only make a claim in one settlement (see the Claim Form), your claim will be evaluated under *each* settlement's Distribution Plan (below). Thus, **you may be eligible to receive a payment from both settlements for the same edition (year) of a Videogame.**

Distribution Plans

The Distribution Plans in both settlements are based on “Points.” The value of these Points is not fixed at any particular dollar amount, but will vary depending on how many people submit valid claims in each of the settlements. *See Question 10 for examples of how payments might vary.*

The amount of Points you earn depends on which “Category” you fall into in the Point Tables below, which is in turn based on the years you played, and exactly how your likeness was (or wasn't) used in the Videogames. The Point Tables list how many Points you get under each settlement. To understand the tables, you need to read the definitions below.

Definitions:

- **Roster Appearance:** You have a “Roster Appearance” if your name was listed on the roster of a team that was included in any NCAA-Branded Videogame published or distributed from July 21, 2005 to [preliminary approval date], and you don't have an Avatar Match or Photograph Use as described below. (This applies to the EA Videogame Settlement Only, and not to the NCAA Videogame Settlement.)
- **Avatar Match:** To have an Avatar Match, both of the following must be true:
 - a. Your name was listed on the roster of a team that was included in any NCAA-Branded Videogame published or distributed from May 4, 2003 to [preliminary approval date]; and
 - b. Your assigned jersey number appears on a virtual avatar from that same team in the Videogame.
- **Photograph Use:** Your photograph was used in an NCAA-Branded Videogame.
- Class Counsel and the Settlement Administrator will use a database they compiled to determine Avatar Matches as well as Photograph Uses in each Videogame. (More detail on the various ways they will determine a “match” can be found at www._____settlement.com.)

Point Table				
Category	Description	Years	Number of Points	
			Under EA Settlement	Under NCAA Settlement
A	Roster Appearance	2005–2014	1 point	0 points
B	Avatar Match or Photograph Use (if you have both, only one will count for each edition of the Videogame)	2003–2005	1.8 points	1.8 points
C	Avatar Match or Photograph Use (if you have both, only one will count for each edition of the Videogame)	2005–2014	6.6 points	6.6 points

Remember, you can get Points from both settlements. So, for example, if you have an Avatar Match for the 2006 edition of the Videogame, you would get 6.6 Points from **each** settlement.

Other Potential Payments Under the Settlements

If you are a Class Member and submit a valid Claim Form but do not qualify for any Points under the above system, then you will receive a flat \$100 payment from each settlement in which you are a Class Member.

If the Net Settlement Funds are not completely used up after making payments to all Class Members who submit claims, any remaining funds will be used to make additional payments to qualifying Class Members who did not submit claims. More detail on this can be found in the Settlement Agreements at www._____settlement.com.

10. How much will my payment be?

The exact amount each qualifying Class Member in each settlement will receive cannot be calculated until (1) the Court approves the settlements; (2) amounts are deducted from the Settlement Fund for notice and administration costs, attorneys' fees and expenses, and any Class Representative Incentive Awards; and (3) the Settlement Administrator determines the number of Class Members who have submitted valid claims and, after checks are issued, who cashed their checks. *See Question 9 for the general method of calculating claims payments.*

Estimated Payments

Below are charts prepared by Class Counsel with estimated payment amounts for each Category. The charts are broken down by "**Claims Rate**," which means they provide an estimate of how much each Category gets if a certain percentage of Class Members in that category submit valid claims. So a 50% claims rate means that 50% of the possible claims were submitted in that Category. We do not know what the Claims Rate will be for either settlement. The calculations below are examples only.

Keep in mind that these amounts are how much a Category gets *per Videogame Edition (Year)*, so if you played for multiple years, your total payment will be the payment amount below multiplied by the number of years you fall into that Category. *See the "Examples" below to see how this all might affect an individual claim.*

Claims Rate	100%			50%			25%			10%		
Category	A	B	C	A	B	C	A	B	C	A	B	C
Payment per Year	\$26	\$74	\$270	\$52	\$147	\$541	\$104	\$295	\$1,081	\$259	\$737	\$2,703

Examples

To give you a sense of how the Distribution Plans will work for an individual Class Member, below are some examples, developed by Class Counsel, of potential Class Members and their estimated payments.

Player 1			
Player 1 was the starting quarterback listed on the roster at the University of California for four seasons from 2007 through 2011. His jersey number appeared on the UC team in the 2007, 2008, 2009, and 2010 editions of EA's <i>NCAA Football</i> Videogame, so he has an Avatar Match for each of those years, and his photograph appeared in the 2011 and 2012 editions of the Videogame. Assuming a 25% Claims Rate, Player's 1 Total Estimated Payment would be <u>\$6,486</u> .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2007	C	\$1,081	<u>\$6,486</u>
2008	C	\$1,081	
2009	C	\$1,081	
2010	C	\$1,081	
2011	C	\$1,081	
2012	C	\$1,081	

Player 2			
Player 2 was on the roster at the University of California and his jersey number appeared on the UC team in the Videogame for four seasons from 2004 through 2007. Assuming a 25% claims rate, Player 2's Total Estimated Payment would be <u>\$2,752</u> .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2004	B	\$295	<u>\$2,752</u>
2005	B	\$295	
2006	C	\$1,081	
2007	C	\$1,081	

Player 3			
Player 3 was on the roster at the University of California and his jersey number appeared on the UC team in the Videogame for two seasons from 2003 through 2005. Assuming a 25% claims rate, Player 3's Total Estimated Payment would be <u>\$590</u> .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2003	B	\$295	<u>\$590</u>
2004	B	\$295	

Player 4			
Player 4 was on the roster at the University of California for four seasons from 2004 through 2008, but neither his jersey number nor his photograph appeared in any EA Videogame. Assuming a 25% claims rate, Player 4's Total Estimated Payment would be <u>\$312</u> .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2004	NONE	\$0	<u>\$312</u>
2005	A	\$104	
2006	A	\$104	
2007	A	\$104	

For additional calculation examples, please visit www._____settlement.com.

HOW YOU GET A PAYMENT—SUBMITTING A CLAIM FORM

11. How can I get a payment?

To be eligible to receive a payment under one of the settlements, you must (1) be a Class Member of that settlement; (2) you must not have excluded yourself from that settlement; and (3) you must submit a valid and timely Claim Form, as described below.

You only need to submit one Claim Form; your claim will be automatically be evaluated under both settlements. If you wish to make a claim under only one of the two settlements, you should check the appropriate box on the Claim Form. (See the enclosed Claim Form for more details.)

If you have excluded yourself from both settlements, you are not eligible to make a claim under either settlement. If you have excluded yourself from one settlement, you are not eligible to make a claim under *that* settlement, but you are still eligible to make a claim under the other settlement (the one from which you did not exclude yourself).

You should read the instructions on the Claim Form carefully, fill it out to the best of your ability, and then sign it as indicated on the Claim Form (online claim forms will require your electronic signature). You do not need to have your signature notarized by a Notary Public, but you will be affirming to the best of your knowledge, information, and belief that the information you provided on the Claim Form is true. If you need help filling out the Claim Form, you should call the Settlement Administrator.

Filing a Claim Online

You may fill out a Claim Form online at www._____settlement.com.

Filing a Claim by Mail

You may also submit your Claim Form by mail. A Claim Form is included in this mailing. You may also get a copy of the Claim Form online at www._____settlement.com, or by calling 1-____-____-____, or by sending an e-mail to _____ and asking for one. If you choose to mail your Claim Form, you must mail the Claim Form to the Settlement Administrator at the address indicated on the Claim Form. It must be **postmarked no later than** _____.

Do not send a copy of the Claim Form to the Court, the Judge, or the Defendants.

Please note that, with a few exceptions, only a Class Member can submit a Claim Form. The only exceptions are that Claim Forms may be submitted on behalf of an individual Class Member by his **“Legally Authorized**

Representative.” A Legally Authorized Representative means an administrator/administratrix, personal representative, or executor/executrix of a deceased Class Member’s estate; a guardian, conservator, or next friend of an incapacitated Class Member; or any other legally appointed person or entity responsible for handling the business affairs of a Class Member. If you have a personal lawyer, your lawyer may assist you with your Claim Form, but you must personally sign the Claim Form, unless the lawyer is your Legally Authorized Representative.

You also cannot submit a Claim Form as part of a group effort, or on behalf of a class of persons.

12. When will I get my payment?

The payments will be mailed to eligible Class Members who send in valid Claim Forms on time, after the Court grants “final approval” of the settlement, after any appeals are resolved, and after the Claim Forms are processed.

The Court will hold a Fairness Hearing on _____, at _____ .m. (Pacific time) to decide whether to approve the settlements. **If you want to attend the hearing, keep in mind that the date and/or time may be changed after the time of this Notice, so you should check the settlement website (www._____settlement.com) before making travel plans.**

If the Court approves the settlements (*see Questions 23–25*), there may be appeals. It’s always uncertain whether these appeals can be resolved, and resolving them can take time. Please be patient. You can check for updates and other important information by using any of the methods listed in Question 27.

13. What if I disagree with the amount of my payment?

There is a process in the settlements for you to object to the determination of the amount of your claim payment, or to object if the Settlement Administrator determines that you don’t qualify for a payment at all. You will get further details in the letter you receive about your settlement claim. Essentially, if you, the Settlement Administrator, and Class Counsel cannot agree on how much you should receive under the settlement, you may appeal as described in the Settlement Agreements. (*See EA Videogame Settlement Agreement ¶ _____ and NCAA Videogame Settlement Agreement ¶ _____.*)

14. What am I giving up to get a payment or stay in the settlements?

Remember that the two settlements are separate. Unless you exclude yourself from a particular settlement, you are staying in the Class or Classes described *in that settlement*, and that means that you can’t sue or be part of any other lawsuit against the Defendants *in that settlement* about the legal claims being settled *in that settlement*. It also means that all of the Court’s orders will apply to you and legally bind you.

Because the two settlements are separate, the “releases of liability” in the two settlements are also separate, and apply to different Defendants and to different claims. So, under the EA Videogame Settlement you will not “release” the NCAA from any liability, and under the NCAA Videogame Settlement you will not “release” EA or CLC from any liability.

The details of the two releases are set out in more detail in the Settlement Agreements, which are posted on www._____settlement.com. (*See EA Videogame Settlement Agreement ¶¶ _____ and NCAA Videogame Settlement Agreement ¶¶ _____.*) The Settlement Agreements describe the releases in specific legal terminology. Talk to Class Counsel (see the section on “The Lawyers Representing You,” Questions 19– 20 below) or your own lawyer if you have questions about the releases or what they mean.

15. Will getting a payment in either of the settlements affect my NCAA eligibility?

No. Your request for or receipt of any payment under this settlement will NOT affect your eligibility to compete in NCAA athletics.

EXCLUDING YOURSELF (“OPTING OUT”) FROM ONE OR BOTH SETTLEMENTS

If you don’t want a payment from one or both settlements, and instead you want to keep the right to sue the Defendants in those settlements on your own about the legal issues in those cases, then you must take steps to get out of the settlement. This is called excluding yourself—or “opting out”—of the Class.

16. How do I get out of one or both settlements?

The two settlements are separate, so **you must opt out of each settlement separately**. To exclude yourself from a settlement, you must send a letter to the Settlement Administrator by first-class mail with a clear statement that you want to be excluded, and from which settlement(s) you wish to be excluded:

- If you want to exclude yourself **from the EA Videogame Settlement**, say:
 - “I want to be excluded from the EA Videogame Settlement.”
- If you want to exclude yourself **from the NCAA Videogame Settlement**, say:
 - “I want to be excluded from the NCAA Videogame Settlement.”
- If you want to exclude yourself **from both settlements**, say:
 - “I want to be excluded from the EA Videogame Settlement and the NCAA Videogame Settlement.”

Be sure to include your **name, address, telephone number**, and your **signature**. If you are sending the request to be excluded as the “Legally Authorized Representative” of a Class Member (*see Question 11 above for the definition of “Legally Authorized Representative”*), you must include any information or documents that confirm your appointment or status as a Legally Authorized Representative. Requests for exclusion must be submitted individually by a Class Member or his Legally Authorized Representative, and not on behalf of a group or class of persons. If you have a personal lawyer, your lawyer may assist you with your exclusion request, but you must personally sign it unless the lawyer is also your Legally Authorized Representative.

You must mail your exclusion request, **postmarked no later than** _____, to the following:

Gilardi & Co. LLC
Exclusion Request
[Address]

You can’t exclude yourself by phone, by e-mail, or on the website. If you ask to be excluded from a settlement, you will not get any money from that settlement, and you cannot object to that settlement. You will not be legally bound by anything that happens in that lawsuit. You may be able to sue (or continue to sue) the Defendants in that lawsuit.

If you have a pending lawsuit against EA, CLC, or the NCAA involving the same legal issues in these settlements, speak to your lawyer in that case immediately. If you wish to continue your individual lawsuit(s), you must exclude yourself from the relevant settlement(s).

17. If I don’t exclude myself, can I sue the Defendants for the same thing later?

No. Unless you exclude yourself from a settlement, you give up any right to sue the Defendants for the claims that are resolved by that settlement. If you have a pending lawsuit, speak to your lawyer in that lawsuit immediately. **Remember, the exclusion deadline is** _____.

18. If I exclude myself, can I get a payment from the settlements?

No. If you exclude yourself from a settlement, you will not be able to get any money from *that settlement*, and you cannot object to *that settlement*. You will not be legally bound by anything that happens in that settlement.

But remember that if you exclude yourself from only one of the settlements, you are still eligible to get money from, or object to, *the other* settlement, and you will still be legally bound by that other settlement.

THE LAWYERS REPRESENTING YOU

19. Do I have a lawyer in these cases?

Yes. The Court has appointed the law firms listed below to represent you and other Class Members in each of the two settlements. These lawyers are called Class Counsel. **You will not be charged for services performed by Class Counsel.** If you want to be represented by your own lawyer, you may hire one at your own expense.

If you want to contact Class Counsel about these settlements, they can be reached through the Settlement Administrator by calling [_____] or sending an email to [_____].

EA Videogame Settlement

- Class Counsel for the EA Videogame Settlement are:

HAGENS BERMAN SOBOL SHAPIRO LLP Steve W. Berman (Seattle, WA) Rob Carey (Phoenix, AZ) Leonard Aragon (Phoenix, AZ)	HAUSFELD LLP Michael D. Hausfeld (Washington, DC) Michael P. Lehmann (San Francisco, CA) Sathya S. Gosselin (Washington, DC)
LUM, DRASCO & POSITAN LLC Dennis J. Drasco (Roseland, NJ)	

NCAA Videogame Settlement

- Class Counsel for the NCAA Videogame Settlement are:

HAGENS BERMAN SOBOL SHAPIRO LLP Steve W. Berman (Seattle, WA) Rob Carey (Phoenix, AZ) Leonard Aragon (Phoenix, AZ)	THE PAYNTER LAW FIRM PLLC Stuart Paynter (Washington, DC) Celeste H.G. Boyd (Chapel Hill, NC)
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20. How will the lawyers be paid? Are the Class Representatives being paid?

Class Counsel will ask the Court for an award of attorneys' fees and expenses in each settlement (the "Fee and Expense Award"), which will be paid from the Settlement Fund in each settlement:

Attorneys' Fees

EA Videogame Settlement Requested Fee and Expense Award

- Class Counsel will ask the Court to approve payment from the EA Videogame Settlement Fund of attorneys' fees of up to 33% of the \$40 million Settlement Fund (*i.e.*, up to \$13,200,000), as well as for reimbursement for costs and expenses incurred in the prosecution of the lawsuits not to exceed \$2,500,000.

NCAA Videogame Settlement Requested Fee and Expense Award

- Class Counsel will ask the Court to approve payment from NCAA Videogame Settlement Fund of attorneys' fees of up to 29% of the \$20 million Settlement Fund (*i.e.*, up to \$5,800,000), as well as for

reimbursement for costs and expenses incurred in the prosecution of the lawsuits not to exceed \$500,000.

Incentive Awards to Class Representatives

- Class Counsel will ask the Court to approve the following payments (called “Incentive Awards”) to the Plaintiffs below for their services as Class Representatives in each of the settlements. Any Incentive Award ordered by the Court will be in addition to what that Class Representative is eligible to receive from his claim.

EA Videogame Settlement

- \$15,000 each for Samuel Michael Keller, Edward C. O’Bannon, and Ryan Hart.
- \$5,000 each for Oscar Robertson, William Russell, Harry Flournoy, Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake Fischer, Jake Smith, Darius Robinson, Moses Alipate, Chase Garnham, and Shawne Alston.
- \$2,500 each for Bryan Cummings, LaMarr Watkins, and Bryon Bishop.

NCAA Videogame Settlement

- \$5,000 each to Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, and Bryon Bishop.

The Court may award less than the amounts requested for attorneys’ fees and Incentive Awards, and has the discretion to determine how much to award. The two settlements do not depend on the amounts awarded for attorneys’ fees or Incentive Awards, which means that the settlements can still be approved and result in payments to Class Members even if the petitions for attorneys’ fees and Incentive Awards are rejected.

Class Counsel is currently scheduled to file with the Court their request for attorneys’ fees and for the Incentive Awards on _____. Settlement deadlines can change, though, so please monitor the settlement website, or call the Settlement Administrator, to see if any deadlines have changed.

OBJECTING TO ONE OR BOTH SETTLEMENTS

21. How do I tell the Court that I don’t like the settlement(s)?

The two settlements are separate, so you must submit objections to *each settlement* that you wish to object to.

If you’re a Class Member (or a Class Member’s Legally Authorized Representative, *see Question 11*), and you **haven’t** excluded yourself from a settlement, you can object to *that* proposed settlement if you don’t like it. However, **you cannot object if you have excluded yourself from that particular settlement**. In other words, you must stay in the case as a Class Member for that settlement in order to object in that settlement.

You can object if you don’t like any part of either proposed settlement, including the settlement’s Distribution Plan, or the request for the attorneys’ Fee and Expense Award, or the request for Incentive Awards to the Class Representatives. You can give reasons why you think the Court should not approve any or all of these items, and the Court will consider your views.

You cannot object in order to ask the Court for a higher payment for yourself personally, although you can object to the payment terms that apply generally to the Class. The Court can only approve or disapprove the settlements, but cannot change how much money you are personally eligible to receive from the settlement. This means that if the Court agrees with your objection, the case won’t be settled unless the parties agree to change the terms and the Court approves those changes.

To object, you must (a) **mail** your objection to the Settlement Administrator **and** (b) **file** it with the Court. To be timely, your objection must be mailed to the Settlement Administrator so that it is **postmarked** by

_____, **and** must be **filed** with the Court by no later than _____, at the following addresses:

SETTLEMENT ADMINISTRATOR	<ul style="list-style-type: none"> • Gilardi & Co. LLC [ADDRESS]
THE COURT	<ul style="list-style-type: none"> • For objections to the EA Videogame Settlement: [TBD] • For objections to the NCAA Videogame Settlement: [TBD]

Note: You may mail your objection to the Court, but it must be **received** by the Court **and filed** by _____. See www._____settlement.com for more information on how to object to the settlement.

For each settlement to which you wish to object, you must include the following information:

- Your full name, address, telephone number, and signature.
- The settlement to which you are objecting:
 - “EA Videogame Settlement,” **or**
 - “NCAA Videogame Settlement”
- The specific reasons why you object to that settlement.
- The name, address, bar number, and telephone number of your counsel, if you’re represented by an attorney. If you are represented by an attorney, he/she or it must comply with all applicable laws and rules for filing pleadings and documents in the Northern District of California.
- State whether you intend to appear at the Fairness Hearing, either in person or through counsel.

Unless you submit a proper and timely written objection, according to the above requirements, you will not be allowed to object to or appear at the Fairness Hearing. You or your lawyer **may** appear at the Fairness Hearing if you have filed a written objection as provided above. (*See Questions 23–25*). If you have a lawyer file an objection for you, he or she must follow all Court rules and you must list the attorney’s name, address, bar number, and telephone number in the written objection filed with the Court.

Please note that any objections must be submitted by an individual Class Member, his Legally Authorized Representative, or his attorney—not as a member of a group, class, or subclass.

22. What’s the difference between objecting and excluding yourself?

Objecting is simply telling the Court that you don’t like something about the settlement. You can object to a settlement only if you stay in that settlement. Excluding yourself is telling the Court that you don’t want to be part of the settlement. If you exclude yourself, you have no basis to object, because the case no longer affects you. If you object, and the Court approves the settlement anyway, you will still be legally bound by the result.

THE COURT’S FAIRNESS HEARING

23. When and where will the Court decide whether to approve the settlements?

The Court will hold a “Fairness Hearing” (also known as a “Final Approval Hearing”) to decide whether to finally approve the proposed settlements. The Fairness Hearing will be on **May 14, 2015 at 2:00 p.m.** before Judge Claudia Wilken, United States District Court for the Northern District of California, 1301 Clay Street, Oakland, CA 94612. If you want to attend the Fairness Hearing, keep in mind that the date and/or time may be

changed after the time of this Notice, so you should check the settlement website (www._____settlement.com) before making travel plans.

At the Fairness Hearing, the Court will consider whether the proposed settlements and all of their terms are adequate, fair, and reasonable. If there are objections, the Court will consider them. The Court may listen to people who have asked for permission to speak at the Hearing and have complied with the other requirements for objections explained in Question 21. The Court may also decide how much to award Class Counsel for fees and expenses, and whether and how much to award the Class Representatives for representing the Class (the Incentive Awards).

At or after the Fairness Hearing, the Court will decide whether to finally approve the proposed settlements. Because the two settlements are separate, it is possible that the Court will rule differently in each settlement, or rule at different times. There may be appeals after that. **There is no set timeline for either the Court's final approval decision, or for any appeals that may be brought from that decision, so it is impossible to know exactly when the settlement(s) will become final.**

The Court may change deadlines listed in this Notice without further notice to the Class. To keep up on any changes in the deadlines, please contact the Settlement Administrator or review the website.

24. Do I have to come to the Fairness Hearing?

No. Class Counsel will answer any questions asked by the Court.

If you send an objection, you don't have to come to Court to talk about it. So long as you mailed your written objection on time and complied with the other requirements for a proper objection, the Court will consider it. You may also pay another lawyer to attend, but it's not required.

25. May I speak at the Fairness Hearing?

Yes. If you submitted a proper written objection to the settlement, you or your lawyer may, at your own expense, come to the Fairness Hearing and speak. To do so, you must follow the procedures set out in Question 21. You must also file a Notice of Intention to Appear, which must be mailed to the Settlement Administrator so that it is **postmarked no later than** _____, and it must be **filed** with the Clerk of the Court by that same date. If you intend to have a lawyer appear on your behalf, your lawyer must enter a written notice of appearance of counsel with the Clerk of the Court no later than _____. See Question 21 for the addresses of the Settlement Administrator and the Court. You cannot speak at the Fairness Hearing if you excluded yourself.

IF YOU DO NOTHING

26. What happens if I do nothing at all?

If you do nothing, you will get no money from either of the two settlements, but you will never again be able to sue or be part of any other lawsuit against the Defendants about the legal claims involved in the settlements. To submit a Claim Form, follow the instructions described in Question 11.

GETTING MORE INFORMATION

27. How do I get more information about the settlements?

This Notice summarizes the proposed settlements. For the precise terms and conditions of the settlements, please see both Settlement Agreements, available at www._____settlement.com.

YOU MAY OBTAIN ADDITIONAL INFORMATION BY	
CALLING	<ul style="list-style-type: none"> Call the Settlement Administrator toll-free at 1-____-____-____ to ask questions and receive copies of documents.
E-MAILING	<ul style="list-style-type: none"> Email the Settlement Administrator at _____
WRITING	<ul style="list-style-type: none"> Send your questions by mail to Gilardi & Co. LLC [ADDRESS]
VISITING THE SETTLEMENT WEBSITE	<ul style="list-style-type: none"> www._____settlement.com, where you will find answers to common questions about both settlements, a Claim Form, plus other information to help you.
REVIEWING LEGAL DOCUMENTS	<ul style="list-style-type: none"> You can review the legal documents that have been filed with the Clerk of Court in these cases at: [COURT ADDRESS]
ACCESSING PACER	<ul style="list-style-type: none"> You can access the Court dockets in these cases through the Court's Public Access to Court Electronic Records (PACER) system at https://ecf.cand.uscourts.gov.

PLEASE DO NOT CALL THE JUDGE OR THE COURT CLERK TO ASK QUESTIONS ABOUT THE LAWSUITS, THE SETTLEMENTS, OR THIS NOTICE.

THE COURT WILL NOT RESPOND TO LETTERS OR TELEPHONE CALLS. IF YOU WISH TO ADDRESS THE COURT, YOU MUST FILE AN APPROPRIATE PLEADING OR MOTION WITH THE CLERK OF THE COURT IN ACCORDANCE WITH THE COURT'S USUAL PROCEDURES.

DATED: _____, 2014

BY ORDER OF THE COURT

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

EXHIBIT 3a

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

If You Were Listed on a Roster of an NCAA Division I Men's Football or Basketball Team, and That Team Was Included in One of EA's Videogames Between May 4, 2003 and [preliminary approval date], You Could Be Affected by Two Proposed Class Action Settlements.

A federal court authorized this Notice. This is not a solicitation from a lawyer.

If you are a Class Member, your legal rights are affected whether you act or don't act.

Please Read This Notice and the Enclosed Claim Form Carefully

This Notice provides information about **two** proposed class action settlements concerning the alleged use of National Collegiate Athletic Association ("NCAA") men's football and basketball players' names, images, and likenesses in certain NCAA-Branded Videogames that were manufactured and distributed by Electronic Arts Inc. ("EA").

The EA Videogame Settlement:

There are class action lawsuits pending against EA and Collegiate Licensing Company LLC ("CLC"). These lawsuits involve, among other things, claims by student-athletes that EA and CLC (representing various NCAA member schools and sometimes the NCAA) violated their legal rights by allegedly licensing, using, and/or selling athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. EA and CLC deny these allegations and deny any other wrongdoing. The Court has not ruled on the merits of these claims. *See Question 2, below, for more information about these lawsuits.*

A proposed settlement of \$40 million has been reached between EA and the student-athletes who brought these cases. If the Court approves it, the EA Videogame Settlement will resolve these cases as to both EA and CLC.

The NCAA Videogame Settlement:

There are class action lawsuits pending against the NCAA. These lawsuits involve, among other things, claims by student-athletes that the NCAA violated their legal rights by allegedly participating in the license, use, and/or sale of athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. The NCAA denies these allegations and denies any other wrongdoing. The Court has not yet ruled in favor of either the NCAA or the student-athletes on these claims. *See Question 2, below, for more information on these lawsuits.*

A proposed settlement of \$20 million has been reached between the NCAA and the student-athletes who brought some of these cases. If the Court approves it, the NCAA Videogame Settlement will resolve these cases as to the NCAA.

YOU MAY BE A MEMBER OF ONE OR BOTH CLASS ACTION SETTLEMENTS. Although the two proposed settlements are similar in some respects, they contain different provisions, and it is possible that the

Are these settlements related to the trial against the NCAA I've heard about?

You may have heard recently about a trial in a case by student-athletes (led by Edward O'Bannon) against the NCAA. Although the trial involved claims that the NCAA used student-athlete likenesses without permission, those claims were against the NCAA only for violations of antitrust laws. Also, unlike the claims being resolved by this settlement, the claims in the trial involved a request for injunctive relief (a court order discontinuing certain practices). The claims in the trial did not involve claims for cash payments for past conduct.

[Alt. A:] The trial in that case ended on June 27, 2014, and on August 8, the Court granted in part and denied in part the student-athletes' requested injunction, and the decision is available for review at [Settlement Website]. The NCAA has appealed. [Alt. B:] The trial in that case ended on June 27, 2014, and the Court ruled in favor of the student-athletes. The decision is available for review at [Settlement Website].

Court could rule differently on each settlement. Please read this entire Notice carefully to make sure that you understand both settlements.

The Court in charge of these cases still has to decide whether to approve these settlements, and payments will only be made if the Court approves the settlements, and after any appeals are resolved. Please be patient, as this process can take a long time.

SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THE TWO SETTLEMENTS	
SUBMIT A CLAIM FORM	<ul style="list-style-type: none"> • This is the only way to get a payment under the settlements. • You can submit one Claim Form for both settlements. • <i>See the enclosed Claim Form for more details.</i> • Requesting or Receiving a Payment Under Either of These Settlements Will NOT Affect Your NCAA Eligibility.
EXCLUDE YOURSELF FROM ONE OR BOTH SETTLEMENTS	<ul style="list-style-type: none"> • Excluding yourself means you get no payment. • This is the only way you can ever be a part of any other case against EA, CLC, or the NCAA about the claims being resolved by these settlements. • You must submit a separate request for exclusion for each settlement. • <i>See Questions 16–18.</i>
OBJECT TO ONE OR BOTH SETTLEMENTS	<ul style="list-style-type: none"> • Write to the Court about why you don't like the settlements. • You must submit a separate objection for each settlement. • You cannot object in order to ask the Court for a higher payment for <i>yourself personally</i>, although you can object to the payment terms (or any other terms) that apply generally to the Class. • <i>See Questions 21–22.</i>
GO TO A HEARING	<ul style="list-style-type: none"> • Ask to speak in Court about the fairness of the settlements. • The Court will hold a hearing for both settlements on the same day to decide whether to approve the settlements. • <i>See Question 23.</i>
DO NOTHING	<ul style="list-style-type: none"> • Get no payment. Give up rights.

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BASIC INFORMATION

1. Why did I get this Notice?

You may have been on the roster of an NCAA Division I men's basketball or football team that was included in one of EA's NCAA-Branded Videogames from May 4, 2003 through [preliminary approval date], and you therefore may be a Member of one or both settlements. The fact that you have received this Notice does not necessarily mean that you are a Class Member in either settlement. *See Questions 5–7 for more details on how you can determine if you are a Class Member in the settlements.*

You were sent this Notice because, as a possible Class Member, you have a right to know about the two proposed class action settlements, and about all your options, before the Court decides whether to give “final approval” to the settlements. If the Court approves the settlements, payments will be made to those who qualify, but only after any objections and appeals are resolved.

This Notice explains the various lawsuits, the settlements, your legal rights, what benefits are available under the settlements, who is eligible for them, and how to get them. **You must submit a valid Claim Form to receive a payment under these settlements.** *See Questions 11–15 for more details on Claim Forms and the deadline to submit them.*

2. What are these lawsuits about?

There are class action cases pending against EA, CLC, and the NCAA. These cases deal with the alleged use of NCAA men's football and basketball players' names, images, and likenesses in EA's NCAA-Branded Videogames. These cases involve different claims and time periods. Generally speaking, though, the cases allege, among other things, that the NCAA, CLC, and EA violated the legal rights of student-athletes by using their names, images, and likenesses in EA's Videogames since May 4, 2003, both during and after the student-athletes' involvement in NCAA athletics.

EA, CLC, and the NCAA have denied the claims and have asserted various defenses to the claims.

3. What is a class action and who are the Parties?

In a class action lawsuit, one or more people, called “Class Representatives,” sue on behalf of people who have similar claims. All these people together are a “Class” or “Class Members.” One court resolves the issues for all Class Members, except for those who choose to exclude themselves from the Class (*see Question 16*).

The **Class Representatives** (or “**Plaintiffs**”) in the cases involved in these settlements are or were all NCAA student-athletes:

- The Class Representatives in the **EA Videogame Settlement** are Edward C. O'Bannon Jr., Oscar Robertson, William Russell, Harry Flournoy, Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake Fischer, Jake Smith, Darius Robinson, Moses Alipate, Chase Garnham, Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, Bryon Bishop, Ryan Hart, and Shawne Alston.
- The Class Representatives in the **NCAA Videogame Settlement** are Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, and Bryon Bishop.

The **Defendants**, or people being sued, in the cases are EA, CLC, and the NCAA.

Together, the Class Representatives and the Defendants are called the “Parties.”

4. Why is there a settlement?

EA, CLC, and NCAA have denied all liability in the lawsuits and have asserted various defenses to Plaintiffs' claims. The Court did not decide in favor of any Plaintiff or any Defendant on the legal claims being resolved here. Instead, all sides agreed to a settlement, which avoids the risk and cost of a trial, but still compensates the people affected. The Class Representatives and their attorneys think that both settlements are in the best interests of Class Members and that both settlements are fair, adequate, and reasonable.

WHO IS IN THE TWO SETTLEMENTS

To see if you are affected by the two proposed settlements, you first have to determine if you are a Class Member in one or both settlements.

5. How do I know if I am part of the settlements? What are the Class definitions?

If you fall under the Class definition below for a settlement, you are a Class Member in that settlement and may be eligible for a cash payment. *See Questions 9–15 for more details about how the amount of your payment will be calculated. You may be a Class Member in both settlements.*

EA Videogame Settlement: Any NCAA Division I football and men's basketball player who **(1)** was listed on a roster published or issued by a school whose team was included in an NCAA-Branded Videogame originally published or distributed from July 21, 2005 through [preliminary approval date], **or (2)** was listed on such a roster from May 4, 2003 and whose jersey number or photograph was used in such a videogame.

NCAA Videogame Settlement: All NCAA Division I football and men's basketball players who were **(1)** listed on a roster published or issued by a school whose team was included in an NCAA-Branded Videogame originally published or distributed from May 4, 2003 through [preliminary approval date], **and (2)** whose assigned jersey number appears on a virtual player in the software, or whose photograph was otherwise included in the software.

Excluded from the Classes are EA, CLC, the NCAA, and their officers, directors, legal representatives, heirs, successors, and wholly or partly owned subsidiaries or affiliated companies, Class Counsel and their employees, and their immediate family members, and the judicial officers, and associated court staff assigned to the cases involved in these settlements and their immediate family members.

The definitions above are summaries, and are not the exact definitions found in the Settlement Agreements. The specific definitions are set out in more detail in the Settlement Agreements, which are posted on www._____settlement.com. (See EA Videogame Settlement Agreement ¶¶ _____ and NCAA Videogame Settlement Agreement ¶¶ _____.)

6. What is an "NCAA-Branded Videogame"?

"NCAA-Branded Videogame" means every edition of *NCAA Football*, *NCAA Basketball*, and *NCAA March Madness* (on any videogame platform) originally published or distributed by EA between May 4, 2003 and [preliminary approval date].

7. I'm still not sure if I am included.

If you are still not sure whether you are included, you can get free help by contacting the Settlement Administrator using any of the methods listed in Question 27.

You are not required to pay anyone to assist you in filing a claim or obtaining information about the settlements.

THE SETTLEMENT BENEFITS—WHAT YOU GET IF YOU QUALIFY

8. What do the proposed settlements provide?

Both proposed settlements provide for cash payments to eligible Class Members.

The EA Videogame Settlement has a total Settlement Fund of \$40 million. The NCAA Videogame Settlement has a total Settlement Fund of \$20 million.

Each Settlement has a proposed Distribution Plan. Under both Distribution Plans, each Settlement Fund will first be used to pay for (1) the costs of class notice and administration, and (2) the attorneys' fees, expenses, and incentive awards approved by the Court (*see Question 20 for more details on these awards*).

The remainder of the Settlement Fund for each settlement (called the “**Net Settlement Fund**”) will then be distributed to qualifying Class Members, as described in Question 9.

9. How will claim payments be calculated?

Payments to qualifying Class Members will be made based on each Class Member's share of that settlement's “Net Settlement Fund.” A Class Member's share will be determined by the number of “Points” earned by the Class Member, as explained below. *See Question 11 for details on how to get a payment if you are eligible.*

Unless you exclude yourself (*see Questions 16–18*), or decide to only make a claim in one settlement (see the Claim Form), your claim will be evaluated under *each* settlement's Distribution Plan (below). Thus, **you may be eligible to receive a payment from both settlements for the same edition (year) of a Videogame.**

Distribution Plans

The Distribution Plans in both settlements are based on “Points.” The value of these Points is not fixed at any particular dollar amount, but will vary depending on how many people submit valid claims in each of the settlements. *See Question 10 for examples of how payments might vary.*

The amount of Points you earn depends on which “Category” you fall into in the Point Tables below, which is in turn based on the years you played, and exactly how your likeness was (or wasn't) used in the Videogames. The Point Tables list how many Points you get under each settlement. To understand the tables, you need to read the definitions below.

Definitions:

- **Roster Appearance:** You have a “Roster Appearance” if your name was listed on the roster of a team that was included in any NCAA-Branded Videogame published or distributed from July 21, 2005 to [preliminary approval date], and you don't have an Avatar Match or Photograph Use as described below. (This applies to the EA Videogame Settlement Only, and not to the NCAA Videogame Settlement.)
- **Avatar Match:** To have an Avatar Match, both of the following must be true:
 - a. Your name was listed on the roster of a team that was included in any NCAA-Branded Videogame published or distributed from May 4, 2003 to [preliminary approval date]; and
 - b. Your assigned jersey number appears on a virtual avatar from that same team in the Videogame.
- **Photograph Use:** Your photograph was used in an NCAA-Branded Videogame.
- Class Counsel and the Settlement Administrator will use a database they compiled to determine Avatar Matches as well as Photograph Uses in each Videogame. (More detail on the various ways they will determine a “match” can be found at www._____settlement.com.)

Point Table				
Category	Description	Years	Number of Points	
			Under EA Settlement	Under NCAA Settlement
A	Roster Appearance	2005–2014	1 point	0 points
B	Avatar Match or Photograph Use <i>(if you have both, only one will count for each edition of the Videogame)</i>	2003–2005	1.8 points	1.8 points
C	Avatar Match or Photograph Use <i>(if you have both, only one will count for each edition of the Videogame)</i>	2005–2014	6.6 points	6.6 points

Remember, you can get Points from both settlements. So, for example, if you have an Avatar Match for the 2006 edition of the Videogame, you would get 6.6 Points from **each** settlement.

Other Potential Payments Under the Settlements

If you are a Class Member and submit a valid Claim Form but do not qualify for any Points under the above system, then you will receive a flat \$100 payment from each settlement in which you are a Class Member.

If the Net Settlement Funds are not completely used up after making payments to all Class Members who submit claims, any remaining funds will be used to make additional payments to qualifying Class Members who did not submit claims. More detail on this can be found in the Settlement Agreements at www._____settlement.com.

10. How much will my payment be?

The exact amount each qualifying Class Member in each settlement will receive cannot be calculated until (1) the Court approves the settlements; (2) amounts are deducted from the Settlement Fund for notice and administration costs, attorneys' fees and expenses, and any Class Representative Incentive Awards; and (3) the Settlement Administrator determines the number of Class Members who have submitted valid claims and, after checks are issued, who cashed their checks. *See Question 9 for the general method of calculating claims payments.*

Estimated Payments

Below are charts prepared by Class Counsel with estimated payment amounts for each Category. The charts are broken down by "**Claims Rate**," which means they provide an estimate of how much each Category gets if a certain percentage of Class Members in that category submit valid claims. So a 50% claims rate means that 50% of the possible claims were submitted in that Category. We do not know what the Claims Rate will be for either settlement. The calculations below are examples only.

Keep in mind that these amounts are how much a Category gets *per Videogame Edition (Year)*, so if you played for multiple years, your total payment will be the payment amount below multiplied by the number of years you fall into that Category. *See the "Examples" below to see how this all might affect an individual claim.*

Claims Rate	100%			50%			25%			10%		
Category	A	B	C	A	B	C	A	B	C	A	B	C
Payment per Year	\$26	\$74	\$270	\$52	\$147	\$541	\$104	\$295	\$1,081	\$259	\$737	\$2,703

Examples

To give you a sense of how the Distribution Plans will work for an individual Class Member, below are some examples, developed by Class Counsel, of potential Class Members and their estimated payments.

Player 1			
Player 1 was the starting quarterback listed on the roster at the University of California for four seasons from 2007 through 2011. His jersey number appeared on the UC team in the 2007, 2008, 2009, and 2010 editions of EA's <i>NCAA Football</i> Videogame, so he has an Avatar Match for each of those years, and his photograph appeared in the 2011 and 2012 editions of the Videogame. Assuming a 25% Claims Rate, Player's 1 Total Estimated Payment would be \$4,3246,486 .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2007	C	\$1,081	<u>\$6,486</u>
2008	C	\$1,081	
2009	C	\$1,081	
2010	C	\$1,081	
2011	C	\$1,081	
2012	C	\$1,081	

Player 2			
Player 2 was on the roster at the University of California and his jersey number appeared on the UC team in the Videogame for four seasons from 2004 through 2007. Assuming a 25% claims rate, Player 2's estimated recovery Total Estimated Payment would be \$2,752 .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2004	B	\$295	<u>\$2,752</u>
2005	B	\$295	
2006	C	\$1,081	
2007	C	\$1,081	

Player 3			
Player 3 was on the roster at the University of California and his jersey number appeared on the UC team in the Videogame for two seasons from 2003 through 2005. Assuming a 25% claims rate, Player 4's estimated recovery 3's Total Estimated Payment would be \$104590 .			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2003	B	\$295	<u>\$590</u>
2004	B	\$295	

Player 4			
Player 4 was on the roster at the University of California for four seasons from 2004 through 2008, but neither his jersey number nor his photograph appeared in any EA Videogame. <u>Assuming a 25% claims rate, Player 4's Total Estimated Payment would be \$312.</u>			
Videogame Edition	Category	Estimated Payment Per Edition	Total Estimated Payment
2004	NONE	\$0	<u>\$312</u>
2005	A	\$104	
2006	A	\$104	
2007	A	\$104	

For additional calculation examples, please visit www._____settlement.com.

HOW YOU GET A PAYMENT—SUBMITTING A CLAIM FORM

11. How can I get a payment?

To be eligible to receive a payment under one of the settlements, you must (1) be a Class Member of that settlement; (2) you must not have excluded yourself from that settlement; and (3) you must submit a valid and timely Claim Form, as described below.

You only need to submit one Claim Form; your claim will be automatically be evaluated under both settlements. If you wish to make a claim under only one of the two settlements, you should check the appropriate box on the Claim Form. (See the enclosed Claim Form for more details.)

If you have excluded yourself from both settlements, you are not eligible to make a claim under either settlement. If you have excluded yourself from one settlement, you are not eligible to make a claim under *that* settlement, but you are still eligible to make a claim under the other settlement (the one from which you did not exclude yourself).

You should read the instructions on the Claim Form carefully, fill it out to the best of your ability, and then sign it as indicated on the Claim Form (online claim forms will require your electronic signature). You do not need to have your signature notarized by a Notary Public, but you will be affirming to the best of your knowledge, information, and belief that the information you provided on the Claim Form is true. If you need help filling out the Claim Form, you should call the Settlement Administrator.

Filing a Claim Online

You may fill out a Claim Form online at www._____settlement.com.

Filing a Claim by Mail

You may also submit your Claim Form by mail. A Claim Form is included in this mailing. You may also get a copy of the Claim Form online at www._____settlement.com, or by calling 1-____-____-____, or by sending an e-mail to _____ and asking for one. If you choose to mail your Claim Form, you must mail the Claim Form to the Settlement Administrator at the address indicated on the Claim Form. It must be **postmarked no later than** _____.

Do not send a copy of the Claim Form to the Court, the Judge, or the Defendants.

Please note that, with a few exceptions, only a Class Member can submit a Claim Form. The only exceptions are that Claim Forms may be submitted on behalf of an individual Class Member by his "**Legally Authorized**

Representative.” A Legally Authorized Representative means an administrator/administratrix, personal representative, or executor/executrix of a deceased Class Member’s estate; a guardian, conservator, or next friend of an incapacitated Class Member; or any other legally appointed person or entity responsible for handling the business affairs of a Class Member. If you have a personal lawyer, your lawyer may assist you with your Claim Form, but you must personally sign the Claim Form, unless the lawyer is your Legally Authorized Representative.

You also cannot submit a Claim Form as part of a group effort, or on behalf of a class of persons.

12. When will I get my payment?

The payments will be mailed to eligible Class Members who send in valid Claim Forms on time, after the Court grants “final approval” of the settlement, after any appeals are resolved, and after the Claim Forms are processed.

The Court will hold a Fairness Hearing on _____, at _____ .m. (Pacific time) to decide whether to approve the settlements. **If you want to attend the hearing, keep in mind that the date and/or time may be changed after the time of this Notice, so you should check the settlement website (www._____settlement.com) before making travel plans.**

If the Court approves the settlements (*see Questions 23–25*), there may be appeals. It’s always uncertain whether these appeals can be resolved, and resolving them can take time. Please be patient. You can check for updates and other important information by using any of the methods listed in Question 27.

13. What if I disagree with the amount of my payment?

There is a process in the settlements for you to object to the determination of the amount of your claim payment, or to object if the Settlement Administrator determines that you don’t qualify for a payment at all. You will get further details in the letter you receive about your settlement claim. Essentially, if you, the Settlement Administrator, and Class Counsel cannot agree on how much you should receive under the settlement, you may appeal as described in the Settlement Agreements. (*See EA Videogame Settlement Agreement ¶ _____ and NCAA Videogame Settlement Agreement ¶ _____.*)

14. What am I giving up to get a payment or stay in the settlements?

Remember that the two settlements are separate. Unless you exclude yourself from a particular settlement, you are staying in the Class or Classes described *in that settlement*, and that means that you can’t sue or be part of any other lawsuit against the Defendants *in that settlement* about the legal claims being settled *in that settlement*. It also means that all of the Court’s orders will apply to you and legally bind you.

Because the two settlements are separate, the “releases of liability” in the two settlements are also separate, and apply to different Defendants and to different claims. So, under the EA Videogame Settlement you will not “release” the NCAA from any liability, and under the NCAA Videogame Settlement you will not “release” EA or CLC from any liability.

The details of the two releases are set out in more detail in the Settlement Agreements, which are posted on www._____settlement.com. (*See EA Videogame Settlement Agreement ¶¶ _____ and NCAA Videogame Settlement Agreement ¶¶ _____.*) The Settlement Agreements describe the releases in specific legal terminology. Talk to Class Counsel (see the section on “The Lawyers Representing You,” Questions 19– 20 below) or your own lawyer if you have questions about the releases or what they mean.

15. Will getting a payment in either of the settlements affect my NCAA eligibility?

No. Your request for or receipt of any payment under this settlement will NOT affect your eligibility to compete in NCAA athletics.

EXCLUDING YOURSELF (“OPTING OUT”) FROM ONE OR BOTH SETTLEMENTS

If you don’t want a payment from one or both settlements, and instead you want to keep the right to sue the Defendants in those settlements on your own about the legal issues in those cases, then you must take steps to get out of the settlement. This is called excluding yourself—or “opting out”—of the Class.

16. How do I get out of one or both settlements?

The two settlements are separate, so **you must opt out of each settlement separately**. To exclude yourself from a settlement, you must send a letter to the Settlement Administrator by first-class mail with a clear statement that you want to be excluded, and from which settlement(s) you wish to be excluded:

- If you want to exclude yourself **from the EA Videogame Settlement**, say:
 - “I want to be excluded from the EA Videogame Settlement.”
- If you want to exclude yourself **from the NCAA Videogame Settlement**, say:
 - “I want to be excluded from the NCAA Videogame Settlement.”
- If you want to exclude yourself **from both settlements**, say:
 - “I want to be excluded from the EA Videogame Settlement and the NCAA Videogame Settlement.”

Be sure to include your **name, address, telephone number**, and your **signature**. If you are sending the request to be excluded as the “Legally Authorized Representative” of a Class Member (*see Question 11 above for the definition of “Legally Authorized Representative”*), you must include any information or documents that confirm your appointment or status as a Legally Authorized Representative. Requests for exclusion must be submitted individually by a Class Member or his Legally Authorized Representative, and not on behalf of a group or class of persons. If you have a personal lawyer, your lawyer may assist you with your exclusion request, but you must personally sign it unless the lawyer is also your Legally Authorized Representative.

You must mail your exclusion request, **postmarked no later than** _____, to the following:

~~[NAME AND ADDRESS OF SETTLEMENT ADMINISTRATOR]~~ Gilardi & Co. LLC
Exclusion Request
[Address]

You can’t exclude yourself by phone, by e-mail, or on the website. If you ask to be excluded from a settlement, you will not get any money from that settlement, and you cannot object to that settlement. You will not be legally bound by anything that happens in that lawsuit. You may be able to sue (or continue to sue) the Defendants in that lawsuit.

If you have a pending lawsuit against EA, CLC, or the NCAA involving the same legal issues in these settlements, speak to your lawyer in that case immediately. If you wish to continue your individual lawsuit(s), you must exclude yourself from the relevant settlement(s).

17. If I don’t exclude myself, can I sue the Defendants for the same thing later?

No. Unless you exclude yourself from a settlement, you give up any right to sue the Defendants for the claims that are resolved by that settlement. If you have a pending lawsuit, speak to your lawyer in that lawsuit immediately. **Remember, the exclusion deadline is** _____.

18. If I exclude myself, can I get a payment from the settlements?

No. If you exclude yourself from a settlement, you will not be able to get any money from *that settlement*, and you cannot object to *that settlement*. You will not be legally bound by anything that happens in that settlement.

But remember that if you exclude yourself from only one of the settlements, you are still eligible to get money from, or object to, *the other* settlement, and you will still be legally bound by that other settlement.

THE LAWYERS REPRESENTING YOU

19. Do I have a lawyer in these cases?

Yes. The Court has appointed the law firms listed below to represent you and other Class Members in each of the two settlements. These lawyers are called Class Counsel. **You will not be charged for services performed by Class Counsel.** If you want to be represented by your own lawyer, you may hire one at your own expense.

If you want to contact Class Counsel about these settlements, they can be reached through the Settlement Administrator by calling [_____] or sending an email to [_____].

EA Videogame Settlement

- Class Counsel for the EA Videogame Settlement are:

HAGENS BERMAN SOBOL SHAPIRO LLP Steve W. Berman (Seattle, WA) Rob Carey (Phoenix, AZ) Leonard Aragon (Phoenix, AZ)	HAUSFELD LLP Michael D. Hausfeld (Washington, DC) Michael P. Lehmann (San Francisco, CA) Sathya S. Gosselin (Washington, DC)
LUM, DRASCO & POSITAN LLC Dennis J. Drasco (Roseland, NJ)	

NCAA Videogame Settlement

- Class Counsel for the NCAA Videogame Settlement are:

HAGENS BERMAN SOBOL SHAPIRO LLP Steve W. Berman (Seattle, WA) Rob Carey (Phoenix, AZ) Leonard Aragon (Phoenix, AZ)	THE PAYNTER LAW FIRM PLLC Stuart Paynter (Washington, DC) Celeste H.G. Boyd (Chapel Hill, NC)
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20. How will the lawyers be paid? Are the Class Representatives being paid?

Class Counsel will ask the Court for an award of attorneys' fees and expenses in each settlement (the "Fee and Expense Award"), which will be paid from the Settlement Fund in each settlement:

Attorneys' Fees

EA Videogame Settlement Requested Fee and Expense Award

- Class Counsel will ask the Court to approve payment from the EA Videogame Settlement Fund of attorneys' fees of up to 33% of the \$40 million Settlement Fund (*i.e.*, up to \$13,200,000), as well as for reimbursement for costs and expenses incurred in the prosecution of the lawsuits not to exceed \$2,500,000.

NCAA Videogame Settlement Requested Fee and Expense Award

- Class Counsel will ask the Court to approve payment from NCAA Videogame Settlement Fund of attorneys' fees of up to 29% of the \$20 million Settlement Fund (*i.e.*, up to \$5,800,000), as well as for

reimbursement for costs and expenses incurred in the prosecution of the lawsuits not to exceed \$500,000.

Incentive Awards to Class Representatives

- Class Counsel will ask the Court to approve the following payments (called “Incentive Awards”) to the Plaintiffs below for their services as Class Representatives in each of the settlements. Any Incentive Award ordered by the Court will be in addition to what that Class Representative is eligible to receive from his claim.

EA Videogame Settlement

- \$15,000 each for Samuel Michael Keller, Edward C. O’Bannon, and Ryan Hart.
- \$5,000 each for Oscar Robertson, William Russell, Harry Flournoy, Alex Gilbert, Sam Jacobson, Thad Jaracz, David Lattin, Patrick Maynor, Tyrone Prothro, Damien Rhodes, Eric Riley, Bob Tallent, Danny Wimprine, Ray Ellis, Tate George, Jake Fischer, Jake Smith, Darius Robinson, Moses Alipate, Chase Garnham, and Shawne Alston.
- \$2,500 each for Bryan Cummings, LaMarr Watkins, and Bryon Bishop.

NCAA Videogame Settlement

- \$5,000 each to Samuel Michael Keller, Bryan Cummings, LaMarr Watkins, and Bryon Bishop.

The Court may award less than the amounts requested for attorneys’ fees and Incentive Awards, and has the discretion to determine how much to award. The two settlements do not depend on the amounts awarded for attorneys’ fees or Incentive Awards, which means that the settlements can still be approved and result in payments to Class Members even if the petitions for attorneys’ fees and Incentive Awards are rejected.

Class Counsel is currently scheduled to file with the Court their request for attorneys’ fees and for the Incentive Awards on _____. Settlement deadlines can change, though, so please monitor the settlement website, or call the Settlement Administrator, to see if any deadlines have changed.

OBJECTING TO ONE OR BOTH SETTLEMENTS

21. How do I tell the Court that I don’t like the settlement(s)?

The two settlements are separate, so you must submit objections to *each settlement* that you wish to object to.

If you’re a Class Member (or a Class Member’s Legally Authorized Representative, *see Question 11*), and you **haven’t** excluded yourself from a settlement, you can object to *that* proposed settlement if you don’t like it. However, **you cannot object if you have excluded yourself from that particular settlement**. In other words, you must stay in the case as a Class Member for that settlement in order to object in that settlement.

You can object if you don’t like any part of either proposed settlement, including the settlement’s Distribution Plan, or the request for the attorneys’ Fee and Expense Award, or the request for Incentive Awards to the Class Representatives. You can give reasons why you think the Court should not approve any or all of these items, and the Court will consider your views.

You cannot object in order to ask the Court for a higher payment for yourself personally, although you can object to the payment terms that apply generally to the Class. The Court can *only* approve or disapprove the settlements, but cannot change how much money you are personally eligible to receive from the settlement. This means that if the Court agrees with your objection, the case won’t be settled unless the parties agree to change the terms and the Court approves those changes.

To object, you must (a) **mail** your objection to the Settlement Administrator **and** (b) **file** it with the Court. To be timely, your objection must be mailed to the Settlement Administrator so that it is **postmarked** by

_____, **and** must be **filed** with the Court by no later than _____, at the following addresses:

SETTLEMENT ADMINISTRATOR	<ul style="list-style-type: none"> • SETTLEMENT ADMINISTRATOR NAME AND <u>Gilardi & Co. LLC</u> [ADDRESS]
THE COURT	<ul style="list-style-type: none"> • For objections to the EA Videogame Settlement: [TBD] • For objections to the NCAA Videogame Settlement: [TBD]

Note: You may mail your objection to the Court, but it must be **received** by the Court **and filed** by _____. See www._____settlement.com for more information on how to object to the settlement.

For each settlement to which you wish to object, you must include the following information:

- Your full name, address, telephone number, and signature.
- The ~~case name and number of the~~ settlement to which you are objecting:
 - ~~For the~~ “EA Videogame Settlement: [_____],” **or**
 - ~~For the~~ “NCAA Videogame Settlement: [_____].”
- The specific reasons why you object to ~~the~~ settlement.
- The name, address, bar number, and telephone number of your counsel, if you’re represented by an attorney. If you are represented by an attorney, he/she or it must comply with all applicable laws and rules for filing pleadings and documents in the Northern District of California.
- State whether you intend to appear at the Fairness Hearing, either in person or through counsel.

Unless you submit a proper and timely written objection, according to the above requirements, you will not be allowed to object to or appear at the Fairness Hearing. You or your lawyer **may** appear at the Fairness Hearing if you have filed a written objection as provided above. (*See Questions 23–25*). If you have a lawyer file an objection for you, he or she must follow all Court rules and you must list the attorney’s name, address, bar number, and telephone number in the written objection filed with the Court.

Please note that any objections must be submitted by an individual Class Member, his Legally Authorized Representative, or his attorney—not as a member of a group, class, or subclass.

22. What’s the difference between objecting and excluding yourself?

Objecting is simply telling the Court that you don’t like something about the settlement. You can object to a settlement only if you stay in that settlement. Excluding yourself is telling the Court that you don’t want to be part of the settlement. If you exclude yourself, you have no basis to object, because the case no longer affects you. If you object, and the Court approves the settlement anyway, you will still be legally bound by the result.

THE COURT’S FAIRNESS HEARING

23. When and where will the Court decide whether to approve the settlements?

The Court will hold a “Fairness Hearing” (also known as a “Final Approval Hearing”) to decide whether to finally approve the proposed settlements. The Fairness Hearing will be on _____, **May 14, 2015 at _____, 2:00 p.m.** before Judge Claudia Wilken, United States District Court for the Northern District of California, 1301 Clay Street, Oakland, CA 94612. If you want to attend the Fairness Hearing, keep in mind

QUESTIONS? CALL 1-____-____-____ TOLL-FREE, OR VISIT www._____settlement.com.

that the date and/or time may be changed after the time of this Notice, so you should check the settlement website (www._____settlement.com) before making travel plans.

At the Fairness Hearing, the Court will consider whether the proposed settlements and all of their terms are adequate, fair, and reasonable. If there are objections, the Court will consider them. The Court may listen to people who have asked for permission to speak at the Hearing and have complied with the other requirements for objections explained in Question 21. The Court may also decide how much to award Class Counsel for fees and expenses, and whether and how much to award the Class Representatives for representing the Class (the Incentive Awards).

At or after the Fairness Hearing, the Court will decide whether to finally approve the proposed settlements. Because the two settlements are separate, it is possible that the Court will rule differently in each settlement, or rule at different times. There may be appeals after that. **There is no set timeline for either the Court's final approval decision, or for any appeals that may be brought from that decision, so it is impossible to know exactly when the settlement(s) will become final.**

The Court may change deadlines listed in this Notice without further notice to the Class. To keep up on any changes in the deadlines, please contact the Settlement Administrator or review the website.

24. Do I have to come to the Fairness Hearing?

No. Class Counsel will answer any questions asked by the Court.

If you send an objection, you don't have to come to Court to talk about it. So long as you mailed your written objection on time and complied with the other requirements for a proper objection, the Court will consider it. You may also pay another lawyer to attend, but it's not required.

25. May I speak at the Fairness Hearing?

Yes. If you submitted a proper written objection to the settlement, you or your lawyer may, at your own expense, come to the Fairness Hearing and speak. To do so, you must follow the procedures set out in Question 21. You must also file a Notice of Intention to Appear, which must be mailed to the Settlement Administrator so that it is **postmarked no later than** _____, and it must be **filed** with the Clerk of the Court by that same date. If you intend to have a lawyer appear on your behalf, your lawyer must enter a written notice of appearance of counsel with the Clerk of the Court no later than _____. See Question 21 for the addresses of the Settlement Administrator and the Court. You cannot speak at the Fairness Hearing if you excluded yourself.

IF YOU DO NOTHING

26. What happens if I do nothing at all?

If you do nothing, you will get no money from either of the two settlements, but you will never again be able to sue or be part of any other lawsuit against the Defendants about the legal claims involved in the settlements. To submit a Claim Form, follow the instructions described in Question 11.

GETTING MORE INFORMATION

27. How do I get more information about the settlements?

This Notice summarizes the proposed settlements. For the precise terms and conditions of the settlements, please see both Settlement Agreements, available at www._____settlement.com.

YOU MAY OBTAIN ADDITIONAL INFORMATION BY	
CALLING	<ul style="list-style-type: none"> • Call the Settlement Administrator toll-free at 1-__-__-__ to ask questions and receive copies of documents.
E-MAILING	<ul style="list-style-type: none"> • Email the Settlement Administrator at _____
WRITING	<ul style="list-style-type: none"> • Send your questions by mail to [SETTLEMENT ADMINISTRATOR- NAME AND Gilardi & Co. LLC [ADDRESS]
VISITING THE SETTLEMENT WEBSITE	<ul style="list-style-type: none"> • www._____settlement.com, where you will find answers to common questions about both settlements, a Claim Form, plus other information to help you.
REVIEWING LEGAL DOCUMENTS	<ul style="list-style-type: none"> • You can review the legal documents that have been filed with the Clerk of Court in these cases at: [COURT ADDRESS]
ACCESSING PACER	<ul style="list-style-type: none"> • You can access the Court dockets in these cases through the Court's Public Access to Court Electronic Records (PACER) system at https://ecf.cand.uscourts.gov.

PLEASE DO NOT CALL THE JUDGE OR THE COURT CLERK TO ASK QUESTIONS ABOUT THE LAWSUITS, THE SETTLEMENTS, OR THIS NOTICE.

THE COURT WILL NOT RESPOND TO LETTERS OR TELEPHONE CALLS. IF YOU WISH TO ADDRESS THE COURT, YOU MUST FILE AN APPROPRIATE PLEADING OR MOTION WITH THE CLERK OF THE COURT IN ACCORDANCE WITH THE COURT'S USUAL PROCEDURES.

DATED: _____, 2014

BY ORDER OF THE COURT

UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

EXHIBIT 4

**If You Were on a Roster of an NCAA Division I
Football or Men's Basketball Team, and That Team Was Included in
One of EA's Videogames Between May 4, 2003 and [preliminary approval date],
You Could Be Entitled to Cash Payments.**

**This Notice is only a summary of your rights and options.
For more detailed information, visit www._____settlement.com or call 1-____-____-____ toll-free.**

What's This About?

A federal court is currently reviewing two proposed class action settlements concerning the alleged use of the names, images, and likenesses of NCAA men's football and basketball players in certain NCAA-Branded Videogames that were manufactured and distributed by Electronic Arts Inc. ("EA"). The two proposed settlements are (1) the "EA Videogame Settlement" and (2) the "NCAA Videogame Settlement."

The EA Videogame Settlement involves claims by student-athletes that EA and the Collegiate Licensing Company ("CLC") violated their legal rights by allegedly licensing, using, and/or selling athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. The NCAA Videogame Settlement involves claims by student-athletes that the NCAA violated their legal rights by allegedly participating in the license, use, and/or sale of athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. All Defendants deny any wrongdoing. The Court has not ruled on the merits of these claims.

The proposed settlements would resolve the claims described above against all Defendants. The EA Videogame Settlement has a \$40 million Settlement Fund and would resolve the claims as to EA and CLC. The NCAA Videogame Settlement has a \$20 million Settlement Fund and would resolve the claims as to NCAA.

You may be a member of one or both settlements. The two settlements share many terms and involve many of the same Class members, but are separate settlements, so you should make sure that you understand both settlements.

The Court will have a hearing on **May 14, 2015, at 2:00 p.m.** (Pacific time) to decide whether to approve the settlements. If you want to attend the hearing, keep in mind that the date and/or time may be changed, so you should check the settlement website before making travel plans. *See below for more information.*

Who's Included in the Settlements?

If you were (1) on a roster of an NCAA Division I football or men's basketball team, and that team was included in an NCAA-Branded Videogame originally published from July 21, 2005 through [preliminary approval date], **or** (2) you were on such a roster from May 4, 2003, **and** your jersey number or photograph appeared in such a Videogame, you *may* be a Class Member in one or both of the settlements.

There are different class definitions for each settlement. You should consult the settlement website for more detailed class definitions and more information about whether you are entitled to a payment under either settlement.

Are These Settlements Related to the Trial Against the NCAA I've Heard About?

You may have heard recently about a trial involving student-athletes (led by Ed O'Bannon) against the NCAA. Although the trial involved claims that the NCAA used student-athlete likenesses without permission, those claims were against the NCAA only for violations of antitrust laws. Also, unlike the claims being resolved by this settlement, the claims in the trial involved a request for injunctive relief (a court order discontinuing certain practices). The claims in the trial did not involve claims for cash payments for past conduct.

[Alt. A:] The trial in that case ended on June 27, 2014, and on August 8, the Court granted in part and denied in part the student-athletes' requested injunction, and the decision is available for review at [Settlement Website]. The NCAA has appealed. [Alt. B:] The trial in that case ended on June 27, 2014, and the Court ruled in favor of

the student-athletes. The decision is available for review at [Settlement Website].

What Do the Settlements Provide?

Both proposed settlements provide for cash payments to eligible Class Members. You can make a claim under *both* settlements. More information about how payments will be calculated (the "Distribution Plan") is available at the settlement website.

In addition, Class Counsel will seek from the Court the following attorneys' fees awards: (1) EA Videogame Settlement: up to \$13.2 million in fees, \$2.5 million in expenses, and additional incentive awards to the Plaintiffs who brought the suits ranging from \$2,500–\$15,000 each; (2) NCAA Videogame Settlement: up to \$5.8 million in fees, \$500,000 in expenses, and additional incentive awards to the Plaintiffs of \$5,000 each.

Class Counsel will file petitions for these awards on _____. The Court will decide the amounts (if any) of these awards at the Fairness Hearing (see below).

What Are Your Options?

(1) Get a Payment:

You are eligible for a cash payment if you qualify and submit a Claim Form—either online or by mail—to the Settlement Administrator **by April 30, 2015**. More information about how to complete and submit the Claim Form is available at the settlement website.

Requesting or receiving a payment under these settlements will NOT affect your eligibility to compete in NCAA athletics.

(2) Exclude Yourself from One or Both Settlements:

You must submit a separate exclusion (or "opt-out") request for *each* settlement from which you wish to exclude yourself. You may exclude yourself from one or both settlements. Your exclusion request must be mailed to the Settlement Administrator's address below so that it is **postmarked by** _____. More information about how to opt out is available at the settlement website.

(3) Object to One or Both Settlements:

You have the right to object to any element of one or both settlements, including the Distribution Plans and Class Counsel's requests for fees, costs, and incentive awards. You cannot object in order to ask the Court for a higher payment for *yourself personally*, although you can object to the payment terms that apply generally to the Class.

More information about how to object to one or both settlements is available at the settlement website.

When/Where Is the Fairness Hearing?

The Court will hold a hearing on **May 14, 2015 at 2:00 p.m. (Pacific time)** to consider whether to approve the settlements, including the Distribution Plans and the attorneys' fees and incentive awards. You may ask to appear at the Hearing, but you don't have to. The courthouse address is _____. The date and/or time of the Fairness Hearing may be changed, so you should check the settlement website before making travel plans.

How Do I Get More Information?

The settlement website, www._____settlement.com, contains more detailed information. You also may call the Settlement Administrator toll-free at 1-____-____-, e-mail at _____, or write to the Settlement Administrator at Gilardi & Co. LLC, _____.

EXHIBIT 4a

Legal Notice

**If You Were on a Roster of an NCAA Division I
Football or Men's Basketball Team, and That Team Was Included in
One of EA's Videogames Between May 4, 2003 and [preliminary approval date],
You Could Be Entitled to Cash Payments.**

This Notice is only a summary of your rights and options.

For more detailed information, visit www._____settlement.com or call 1-____-____-____ toll-free.

What's This About?

A federal court is currently reviewing two proposed class action settlements concerning the alleged use of the names, images, and likenesses of NCAA men's football and basketball players in certain NCAA-Branded Videogames that were manufactured and distributed by Electronic Arts Inc. ("EA"). The two proposed settlements are (1) the "EA Videogame Settlement" and (2) the "NCAA Videogame Settlement."

The EA Videogame Settlement involves claims by student-athletes that EA and the Collegiate Licensing Company ("CLC") violated their legal rights by allegedly licensing, using, and/or selling athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. The NCAA Videogame Settlement involves claims by student-athletes that the NCAA violated their legal rights by allegedly participating in the license, use, and/or sale of athletes' names, images, and likenesses in EA's NCAA-Branded Videogames. All Defendants deny any wrongdoing. The Court has not ruled on the merits of these claims.

The proposed settlements would resolve the claims described above against all Defendants. The EA Videogame Settlement has a \$40 million Settlement Fund and would resolve the claims as to EA and CLC. The NCAA Videogame Settlement has a \$20 million Settlement Fund and would resolve the claims as to NCAA.

You may be a member of one or both settlements. The two settlements share many terms and involve many of the same Class members, but are separate settlements, so you should make sure that you understand both settlements.

The Court will have a hearing on _____, **May 14 2015, at _____:2:00 p.m.** (Pacific time) to decide whether to approve the settlements. If you want to attend the hearing, keep in mind that the date and/or time may be changed, so you should check the settlement website before making travel plans. *See below for more information.*

Who's Included in the Settlements?

If you were (1) on a roster of an NCAA Division I football or men's basketball team, and that team was included in an NCAA-Branded Videogame originally published from July 21, 2005 through [preliminary approval date], **or** (2) you were on such a roster from May 4, 2003, **and** your jersey number or photograph appeared in such a Videogame, you *may* be a Class Member in one or both of the settlements.

There are different class definitions for each settlement. You should consult the settlement website for more detailed class definitions and more information about whether you are entitled to a payment under either settlement.

Are These Settlements Related to the Trial Against the NCAA I've Heard About?

You may have heard recently about a trial involving student-athletes (led by Ed O'Bannon) against the NCAA. Although the trial involved claims that the NCAA used student-athlete likenesses without permission, those claims were against the NCAA only for violations of antitrust laws. Also, unlike the claims being resolved by this settlement, the claims in the trial involved a request for injunctive relief (a court order discontinuing certain practices). The claims in the trial did not involve claims for cash payments for past conduct.

[Alt. A:] The trial in that case ended on June 27, 2014, and on August 8, the Court granted in part and denied in part the student-athletes' requested injunction, and the decision is available for review at [Settlement Website]. The NCAA has appealed. [Alt. B:] The trial in that case ended on June 27, 2014, and the Court ruled in favor of

the student-athletes. The decision is available for review at [Settlement Website].

-What Do the Settlements Provide?

Both proposed settlements provide for cash payments to eligible Class Members. You can make a claim under *both* settlements. More information about how payments will be calculated (the "Distribution Plan") is available at the settlement website.

In addition, Class Counsel will seek from the Court the following attorneys' fees awards: (1) EA Videogame Settlement: up to \$13.2 million in fees, \$2.5 million in expenses, and additional incentive awards to the Plaintiffs who brought the suits ranging from \$2,500–\$15,000 each; (2) NCAA Videogame Settlement: up to \$5.8 million in fees, \$500,000 in expenses, and additional incentive awards to the Plaintiffs of \$5,000 each.

Class Counsel will file petitions for these awards on _____. The Court will decide the amounts (if any) of these awards at the Fairness Hearing (see below).

What Are Your Options?

(1) Get a Payment:

You are eligible for a cash payment if you qualify and submit a Claim Form—either online or by mail—to the Settlement Administrator by _____, **April 30, 2015**. More information about how to complete and submit the Claim Form is available at the settlement website.

Requesting or receiving a payment under these settlements will NOT affect your eligibility to compete in NCAA athletics.

(2) Exclude Yourself from One or Both Settlements:

You must submit a separate exclusion (or "opt-out") request for *each* settlement from which you wish to exclude yourself. You may exclude yourself from one or both settlements. Your exclusion request must be mailed to the Settlement Administrator's address below so that it is **postmarked by** _____. More information about how to opt out is available at the settlement website.

(3) Object to One or Both Settlements:

You have the right to object to any element of one or both settlements, including the Distribution Plans and Class Counsel's requests for fees, costs, and incentive awards. You cannot object in order to ask the Court for a higher payment for *yourself personally*, although you can object to the payment terms that apply generally to the Class.

More information about how to object to one or both settlements is available at the settlement website.

When/Where Is the Fairness Hearing?

The Court will hold a hearing on _____, **May 14, 2015 at _____:2:00 p.m. (Pacific time)** to consider whether to approve the settlements, including the Distribution Plans and the attorneys' fees and incentive awards. You may ask to appear at the Hearing, but you don't have to. The courthouse address is _____. The date and/or time of the Fairness Hearing may be changed, so you should check the settlement website before making travel plans.

How Do I Get More Information?

The settlement website, www._____settlement.com, contains more detailed information. You also may call the Settlement Administrator toll-free at 1-____-____-____, e-mail at _____, or write to the Settlement Administrator at ~~the~~ address above-Gilardi & Co. LLC, _____.